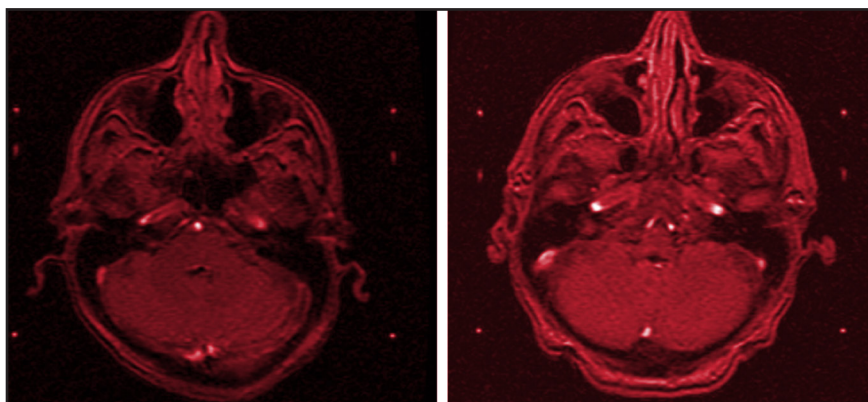


Interdisciplinary management of acoustic neuromas

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Acoustic neuromas (ANs), histologically described as vestibular schwannomas, are benign tumors of Schwann cells. They originate most frequently from the intracanalicular portion of the vestibular nerve, typically in the medial part of the internal auditory canal (IAC).¹ The incidence of clinically recognized AN is 1-2 in 100,000 person-years in the U.S. population,^{2,3} and the prevalence is estimated at 2 in 10,000 people.⁴ These tumors comprise 5% to 8% of all intracranial tumors⁵ and 80% to 90% of cerebellopontine angle (CPA) tumors,⁶ occurring with about equal frequency in men and women.² The majority of these (> 90%) are sporadic and unilateral, with the bilateral, hereditary variant limited to neurofibromatosis-2 (NF-2).⁷ Risk factors for AN include exposure to ionizing radiation, NF-2, and acoustic trauma.⁸

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Acoustic neuromas are slow-growing (average growth rate 1.9 mm/year), presenting at a median age of 50. Patients can present between ages 30 to 50 depending on severity of symptoms.⁹ Presenting symptoms typically involve dysfunction of cranial nerves V, VII, and VIII, most commonly hearing loss (95%) and tinnitus (63%).⁹ Despite being the most common presenting symptom, progressive hearing loss is noticed in only 66% of patients who ultimately have hearing deficit.¹⁰ This is reflective of the indolent nature of this tumor, often manifesting as irreversible hearing loss in many patients.

If left untreated, about 20% to 40% of ANs are stable, either shrinking or ceasing to grow.² There has been no shown predictive relationship between

growth rate and size of tumor at presentation.¹¹ However, patients with a tumor growth rate of 2.5 mm per year have higher hearing preservation than those with faster tumor growth.¹¹ Non-incident diagnosis depends on patient symptoms, such as hearing loss, which, in turn, is related to the size and location of the tumor. Of note, tumor location is more predictive of hearing loss than tumor size.¹¹ As such, studies have emphasized the importance of early intervention to preserve as much useful hearing as possible.^{12,13} Treatment options for newly diagnosed acoustic neuroma include observation with serial imaging, surgical management, and radiation therapy. The goal of this review is to discuss the treatment options, expected response, and associated side

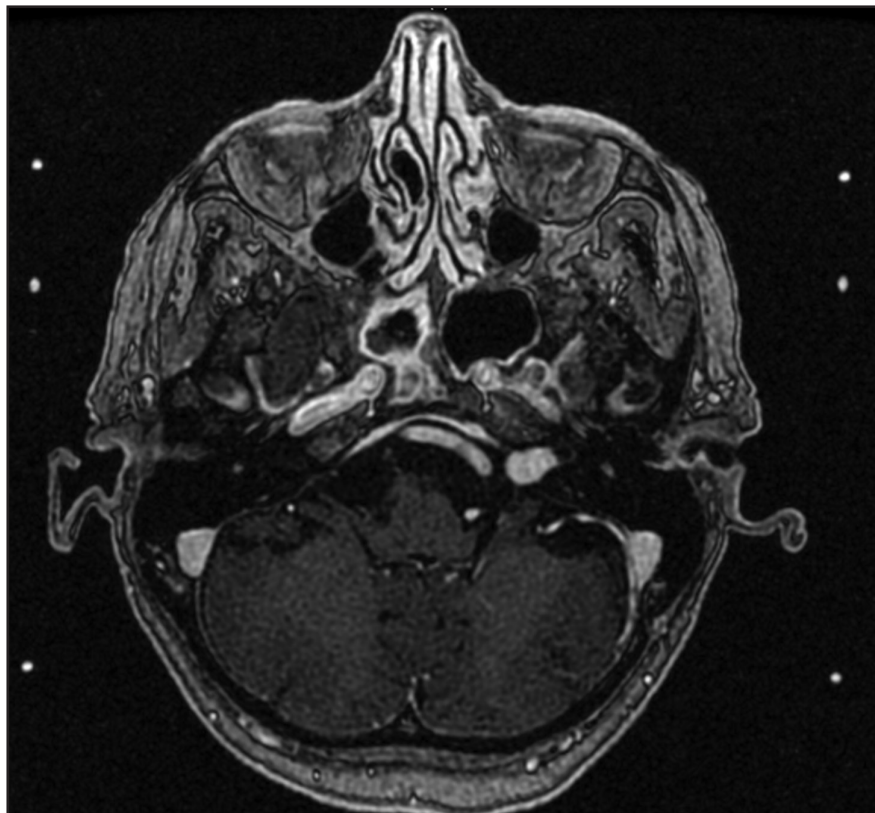


FIGURE 1. Axial MRI demonstrating an “ice cream cone” lesion in the left cerebellopontine angle and the internal acoustic canal.

effects in the management of acoustic neuromas.

Initial Workup

For patients presenting with hearing loss due to acoustic nerve dysfunction, initial workup includes audiometry to establish a new baseline. These evaluations typically show asymmetric sensorineural hearing loss, usually more prominent in the higher frequencies. Functional hearing is typically described as < 50 dB and $> 50\%$ speech discrimination.¹⁴ The preferred method for the diagnosis and follow-up of AN is MRI.¹⁵ Specifically, diffusion tensor imaging (DTI) is used to assess the auditory tract in the brainstem in those who have sensorineural hearing loss.¹⁶⁻¹⁸ Structures to be aware of in treatment planning include the trigeminal nerve, facial nerve, vestibulocochlear nerve, cochlea, modiolus, and brainstem. Thin-slice (1.0-1.5 mm) MRI with gado-

linium is recommended to detect tumors as small as 1-2 mm in diameter, revealing an enhancing lesion typically described as “ice cream cone” in the region of the internal acoustic canal (Figure 1) or a “dumbbell” extending into the foramen magnum.¹⁹ High-resolution CT scan (with or without contrast) can be used if the patient cannot undergo MRI evaluation.

Overview of Management Strategy for Acoustic Neuroma

Overview of Treatment Strategies

The goals of treatment for these benign tumors are long-term tumor control and maintenance of existing neurologic function. Treatment options include surgery, stereotactic radiotherapy, and conservative management with observation.^{20,21}

In the event of small tumors (< 2.0 cm) or tumors with no or slow growth

without symptom progression — typically either asymptomatic tumors or tumors causing mild, stable symptoms — observation as conservative management is recommended. This includes follow-up with audiometry and MRI every 6-12 months.² This strategy is also indicated for older patients or those who may not be able to tolerate stereotactic radiosurgery (SRS) or surgical resection.¹ The problem with this approach includes ongoing hearing loss during the observation period as well as possible mass effect if the tumor is large or grows during follow-up. With symptomatic tumors, intervention becomes key.

Surgical resection of AN has been critical in the management of these lesions for more than 100 years, with current microsurgical techniques allowing for potential preservation of CN VIII and its adjacent nerves.²² For symptomatic or large tumors (> 3.0 cm) exceeding current recommendations for focused radiation therapy,²³ or tumors that recur or progress after prior radiation therapy, surgery is recommended.²⁴ Recurrence rates after surgical resection are $< 1\%$, and facial nerve preservation is possible in 80% to 90% of patients.²⁵ However, hearing preservation after surgical resection is about 50%,^{26,27} ranging from 35% to 65%.²⁸ Of note, these numbers are highly dependent on the tumor size or volume, location, and expertise of the surgical team and institution.²⁸

The role of radiation therapy in AN treatment depends on whether the patient is a surgical candidate, the experience of the institution with treating AN nonsurgically, and patient preference. Radiation therapy, particularly SRS, is a noninvasive technique that delivers high-dose irradiation to a small, targeted volume of tissue. The use of SRS for AN was first described by Swedish physician Lars Leksell as an alternative to microsurgical resection for small and moderately sized tumors.²⁹ Studies

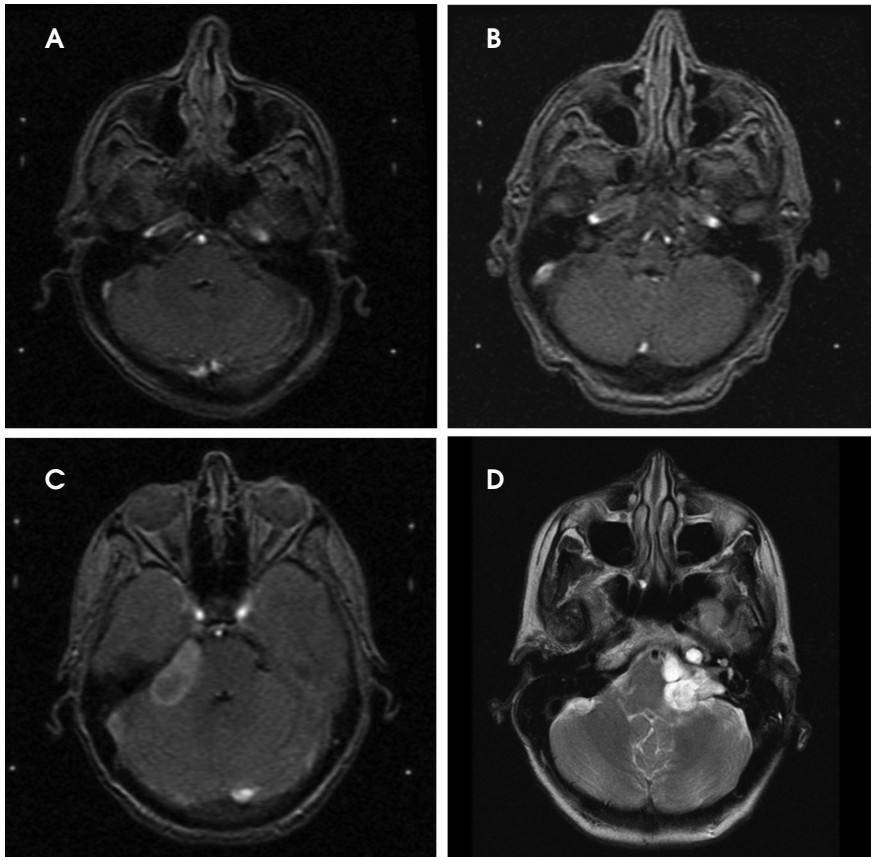


FIGURE 2. T2-weighted MRI with contrast enhancement showing the 4 stages of AN growth (A-D): (A) Intracanalicular right-sided AN; (B) Cisternal (filling the cerebellopontine angle) right-sided AN; (C) Brainstem compressive right-sided AN; and (D) Hydrocephalic (involving ventricular deviation) left-sided AN

suggest that SRS can be used to successfully control tumors up to 3 cm in diameter (when including the internal auditory canal in this measurement) in the majority of patients.³⁰ Two possible modalities exist: single session SRS and fractionated stereotactic radiation therapy (FSR) over multiple sessions. Stereotactic radiosurgery allows facial nerve preservation of > 95%, and hearing preservation in 70% to 90% of patients.^{31,32} FSR allows facial nerve preservation of 95% and hearing preservation of 55% to 65%.³³ For both modalities, long-term local tumor control is 90% to 97%.^{34,35}

Some studies have demonstrated bevacizumab to be associated with AN regression and hearing improvement in patients with NF-2. One study found

stable or improved hearing retained in the majority of patients (90%) after 1 year of treatment with tumor shrinkage in > 50% of progressive AN, corroborated by a case report describing tumor regression of 40% in a patient treated for 6 months.^{36,37} This treatment option may also be considered in patients with NF-2 preferring medical management, given evidence that it is associated with reduction in volume of most AN while being tolerable to patients.³⁸

Despite these promising findings, there is no international consensus regarding the optimal treatment for AN < 3.0 cm.⁷ With each treatment option, different risks and benefits are involved, balancing symptom relief with toxicities. Despite the lack of formal guidelines, the literature offers suggestions

for optimal management based on various patient and tumor characteristics. Classification systems and scales have been devised and can be helpful in this process.^{39,40} As outlined below, a thorough review of this body of data can help delineate select populations that could benefit from one treatment over the other.

Classification and Scales

Samii class for tumor classification.

ANs are commonly classified according to size or extension. Four stages of AN growth have been described: intracanalicular, cisternal (filling the cerebellopontine angle), brainstem compressive, and hydrocephalic (involving ventricular deviation) (Figure 2A-D).³² A classification based on the extent of tumor growth and presence, and severity of brainstem compression was developed by Samii.⁴¹ As tumor location or extension is more predictive of hearing loss than tumor size,¹¹ classification systems such as the Samii Class⁴¹ are useful in predicting the rate of facial nerve preservation corresponding to tumor size and anatomic extension.⁴² The following classes are used to describe tumor location: T1-purely intracanalicular/intrameatal, T2-intra- and extra-canalicular/meatal, T3a-filling the cerebellopontine cistern, T3b-reaching the brainstem, T4a-compressing the brainstem, and T4b-severely displacing the brainstem and compressing the fourth ventricle.

Samii and Matthies looked at 1000 surgically resected patients with AN, examining both facial nerve²⁵ and cochlear nerve (hearing)⁴⁰ preservation. They found anatomic preservation of the facial nerve was achieved in 93% of patients and of the cochlear nerve in 68%. Hearing preservation for surgical resection was 47%. Despite the opportunity for total or near-total resection with immediate symptom relief, surgical resection comes with a multitude of risks other than hearing loss, including

Table 1. Gardner-Robertson Classification for Hearing Preservation

Class	PTA or SRT (dB)*		Speech discrimination (%)
1	0-30	and	70-100
2	31-50	and	69-50
3	51-90	and	49-5
4	91- max loss	and	4-1
5	No response	and	No response

*Use better score. If PTA/SRT score and speech discrimination scores do not result in placement in the same class, use class appropriate for poorer score. Adapted from Gardner and Robertson paper, 1988.³⁹

disequilibrium, particularly imbalance when walking (35%); vertigo (25%) or dizziness (22%); CSF leak/fistulas (9%); trigeminal nerve or facial nerve deficit/palsy (5%); hydrocephalus (2.3%); hematomas (2.2%); bacterial meningitis (1%); wound revisions (1%); hemiparesis (1%); and death (1%).⁴¹ In one microsurgical series, mortality rates ranged from 0% to 6%.⁴³

Gardner-Robertson scale for hearing preservation. Given the importance of hearing preservation as a primary outcome, the Gardner-Robertson (GR)³⁹ scale for hearing preservation after surgical resection was developed by Gardner and Robertson in their survey of the existing AN surgical series in 1988. The authors developed this scale to provide audiometric criteria for the classification of hearing preservation into 5 categories. Patients were graded pre- and postoperatively, and their classification was subsequently correlated to their tumor size. Specifically, this scale distinguishes the various levels of hearing of clinical interest beyond “serviceable” vs. “non-serviceable.”³⁹ Serviceable hearing was defined as pure tone audiogram average (PTA) \leq 50 dB and/or speech discrimination rate of \geq 50%, corresponding to either GR Class I or II (Table 1).

Although this scale was designed to aid in choosing a surgical approach to best preserve hearing postoperatively, it can be applied to patients receiving

radiation for AN, as it was a useful tool in measuring and comparing outcomes pre- and post-intervention. It is worth noting that reported hearing preservation rates vary widely based on patient selection criteria and methods of defining hearing preservation.⁴⁴ As such, the authors urged that until a universally accepted system of grading hearing status and preservation is established, actual audiometric scores (including information on masking) should be reported for each case in a given study.³⁹

Neurosurgical Resection

Microsurgical resection is performed by an otologist and a neurosurgeon. Typically, surgeons must operate on a high volume of patients to obtain the requisite expertise.¹³ There are multiple surgical approaches for resection, the selection of which depends on tumor size; extension into the IAC; preoperative hearing level; as well as surgeon experience, preference, and institutional tradition.¹

Retromastoid approach. The retromastoid approach is an excellent option for facial nerve and hearing preservation, and can be used for any size tumor. However, this approach may not achieve gross total resection if the tumor extends distally into the IAC, and should be reserved for patients with functional hearing and good chances of hearing preservation post-surgery who can be followed for tumor recurrence.⁴¹

Middle cranial fossa approach. The middle cranial fossa approach allows some hearing preservation, as well as direct access to the lateral end of the IAC to safely remove the most lateral part of the tumor. It has the advantage of gross total resection in addition to facial nerve function preservation (78%),^{45,46} and is a good option for small tumors (< 1.5 cm), but at the cost of only moderate hearing preservation. Risk of CSF leak is low; however, depending on tumor location, complete removal may not be feasible.

Translabyrinthine approach. The advantages of the translabyrinthine approach include shorter distance to access the tumor, avoidance of cerebellar retraction, and early identification of the facial nerve at the lateral end of the IAC.¹ This approach is good for anatomic facial nerve preservation while inevitably sacrificing hearing in the process, typically reserved for large tumors (> 3.0 cm) and recommended by some for patients who are deaf or have poor chances of hearing preservation.⁴⁷ It also has good results with regard to mortality and morbidity,⁴⁸ with the lowest morbidity associated with spinal fluid leaks and postoperative headache.⁴⁷ By contrast, this approach has a higher incidence of CSF fistulas and infection, particularly meningitis, in large tumors with hydrocephalus⁴⁹ compared to the middle cranial fossa approach.

Suboccipital approach. The suboccipital approach allows excellent visualization of the CPA and, thus, increased safety during dissection from the brainstem and lower cranial nerves while permitting identification of facial and cochlear nerves both proximally and distally, maximizing chances of functional preservation of both. This approach has the advantage of enabling hearing preservation even with larger tumors, with anatomic cochlear nerve preservation at 96% and functional preservation at 44%.⁴⁵ Facial nerve preservation with

this technique was noted in 99%, with completely normal function in 78%.^{41,45} Evidence shows a lower incidence of temporary facial nerve paresis but higher incidence of headache compared to the middle cranial fossa approach.⁵⁰

Retrolabyrinthine approach. The retrolabyrinthine approach, primarily used for vestibular neurectomy, sacrifices hearing and is less commonly used but performed at some centers for AN.⁵¹

Desire to preserve hearing, and whether the patient has hearing to spare, can guide decisions regarding surgical approach.⁵² Ultimately, it is recommended that in addition to considering specifics of the patient's functional status and anatomic features of the AN, the technique with which the surgical team is most familiar should guide surgical approach.⁴¹

The population most likely to benefit from surgery as primary treatment includes patients with larger tumors or those who are symptomatic, especially if the patient can tolerate the risks of surgery or requires immediate symptomatic relief. Beyond these indications for surgery, there is some ambiguity and degree of provider and patient preference influencing the decision to perform surgery instead of radiation.

Radiation therapy. Radiation therapy (RT) options include several different types of stereotactic radiotherapy, including FSR applied over multiple sessions, and SRS administered in a single session. Fractionated stereotactic radiation therapy will be discussed in a later section. Cyberknife,⁵³ (Accuray, Sunnyvale, California) permitting a staged approach with improved tumor dose homogeneity, and proton beam⁵⁴ radiosurgery, with its dose distribution advantage, are other options used for patients with nonserviceable hearing and tumors < 2.0 cm, and are used at some centers. The goal of stereotactic radiotherapy in AN management is to prevent further tumor growth; preserve

existing function, particularly hearing; and to reduce or avoid the morbidity associated with open surgery.

Stereotactic radiosurgery (SRS) overview. Evidence demonstrates radiosurgery to be a safe, effective management option for small and medium ANs, allowing for treatment in a single, outpatient session.^{55,56} SRS allows for accurate targeting techniques for intracranial structures by focusing irradiation onto a stereotactically localized target. Targets up to 3.0 cm in diameter are typically considered appropriate for management with SRS.⁷ Stereotactic radiosurgery works by inducing radiation necrosis in the targeted tissue volume with the long-term goal being growth control of the tumor.⁵⁷ The patient's head is fixed with an MRI-compatible Leksell Stereotactic Frame (Elekta, Stockholm, Sweden) and 1.0-1.5 mm slice MRI is obtained. Tumor volume is measured based on the macroscopic tumor seen on MRI or CT, and dose fall-off to the cochlea and brainstem is calculated.³⁵ This procedure is typically well-tolerated, with few patients developing major acute effects.⁵⁸ Reduction in radiation dose from the previously used 16 Gy^{59,60} has played the most critical role in reducing complications associated with AN radiosurgery. Current guidelines recommend 12-13 Gy to the 50% isodose line, as studies have shown a prescription dose of 12.5 Gy to the tumor margin yields the optimal combination of maximum tumor control and minimum complications like facial weakness and hearing loss.⁵⁵ Many institutions, including our own, have seen success with dose of 11.5 Gy to the 50% isodose line.^{61,62}

The dose threshold above which hearing preservation rates decrease is 13 Gy, making this the upper limit of therapy, particularly for patients with intact hearing. Useful or intact hearing was defined as GR Class I (specifically speech discrimination scores of $\geq 80\%$ and/or PTA < 20 dB) by Kano et al,⁵⁹

and as GR Class I or II by Iwai et al, with the latter specification more commonly cited.⁶⁰ Toxicities with SRS include trigeminal neuropathy or hyperesthesia and facial nerve neuropathy or palsy, all of which have been reported in < 5% of patients. Notably, despite the overall high rate of hearing preservation with SRS, hearing deficit is still a possible toxicity, with useful hearing preserved in only 40% to 60% of all patients.

Comparing and Contrasting Management Strategies

Efficacy and Safety of Stereotactic Radiosurgery and Fractionated Stereotactic Radiosurgery: Comparison to Observation as Management Strategy

Several studies have demonstrated the efficacy and safety of stereotactic radiotherapy in the management of AN. Modifications in dosing schedule and prescription, including lower marginal doses, and advancements in technique, including more sophisticated treatment planning software and use of MRI-based targeting, have allowed for reduction in cranial nerve complications following FSR with similar tumor control rates as external-beam fractionated radiotherapy and SRS.⁶³ A Harvard retrospective study⁶³ examined 70 patients with AN (47% newly diagnosed, 31% postoperative, 19% recurrent) treated with conventionally fractionated FSR with a median dose of 54 Gy in 30 fractions to 95% isodose line. Median tumor volume in this group was 2.4 cm³ and median follow-up was 3.8 years. Results demonstrated 5-year local control at 98%, freedom from resection at 92%, freedom from any neurosurgical intervention at 97% if initial tumor volume is < 8.0 cm³ and 47% if ≥ 8.0 cm³. Preservation rates of facial nerve and trigeminal nerve at 3 years were 99% and 96%, respectively. Surgery before FSR was predictive of trigeminal nerve toxicity (86% vs. 98%). Conventionally

Table 2. Summary of Quality of Life Measures in Acoustic Neuroma Patients Receiving Microsurgical Resection Compared to Stereotactic Radiosurgery (SRS)

	Facial movement 3-month follow-up	Facial movement 1-year follow-up	Facial movement last follow-up	Serviceable hearing* at 3-month follow-up	Serviceable hearing at 1-year follow-up	Serviceable hearing at last follow-up
Surgery	61%	69%	75%	5%	5%	5%
SRS	100%	100%	96%	77%	63%	63%

*Defined as AAO-HNS Class A or B. Table based on data from Pollock et al.⁴⁴

fractionated FSR resulted in favorable outcomes in this group, which included those with NF-2 as well as sporadic AN; intracanalicular and extracanalicular tumors; presenting after conservative management with observation, tumor progression, or history of 1-3 surgical resections; as well as a range of tumor volumes (0.05-21.1 cm³). While the variety of patients included is encouraging for future generalizability of this study, the superior outcomes in patients with smaller (< 8.0 cm³) tumors most strongly supports the benefit of FSR in treating smaller tumor volumes.

Only a few studies have evaluated the safety of SRS as an alternative to surgical resection for older patients or those with medical comorbidities precluding surgery, but who may prefer or benefit from intervention over observation.³² This is particularly salient given evidence of slow growth and even good hearing outcomes in a significant number of untreated AN cases.^{21,64} A meta-analysis of observation as a management strategy included 26 studies with 1340 patients total, in which tumor growth was observed in 46% of patients (mean growth rate: 1.2 mm/year). Subsequent active treatment was required by only 18% of patients receiving RT.⁶⁵ An observational study by Breivik and colleagues⁶⁶ sought to compare SRS with the natural course of AN progression. Over the course of almost 5 years, there was a significant reduction in tumor volume in the RT group, in

which need for additional treatment was reduced, compared to the observation group.⁶⁶ This was done without compromising hearing. These results suggest that AN growth rate, and thereby incidence rate of future retreatment, can be controlled by RT in unilateral, small-to-moderately sized extracanalicular/extrameatal tumors, including those > 2.0 cm in this study.

A study by Boari et al⁴³ assessed the safety and efficacy of SRS as primary treatment in patients ages 23-85 years (mean 59 years) with sporadic AN, and found tumor control to be 97.1% in patients, as well as low morbidity associated with SRS. This group concluded that younger GR Class I patients (pure tone audiogram average 0-30 dB, speech discrimination 70% to 100%) had a significantly higher probability of retaining functional hearing, even at 10-year follow-up. Parameters considered as determinants of the clinical outcome were long-term tumor control, hearing preservation, and complications. They recommended that the time between symptom onset, diagnosis, and treatment be shortened given that observation carries the risk of irreversible hearing deterioration in patients with serviceable hearing prior to SRS, particularly in younger patients.

Radiation Therapy Compared to Surgical Resection

Newer studies explore the possibility of using SRS where surgery was previously used. There are presently no guide-

lines on the effectiveness and safety of SRS compared to observation or microsurgical resection.¹³ According to the literature, the rates of tumor control appear to be comparable between microsurgery and SRS for tumors < 3.0 cm.⁶⁷ With SRS, the tumor control rate is 97%, normal facial function is > 99%, trigeminal function is 97%, and hearing is preserved in up to 77% of patients.³⁵ Potential for adverse radiation effects without the advantage of rapid volume reduction offered by neurosurgical resection have made this option less popular for larger AN (> 3.0 cm).⁷ Despite these toxicities, radiation still carries a lower risk of facial or trigeminal nerve injury than surgery, prompting many to examine the possibility of using SRS in patients previously recommended for surgical resection.⁵¹

Several groups have prospectively compared surgery and SRS. Data from the Mayo Clinic found that among patients with tumors < 3.0 cm, SRS and surgery had similar tumor control rates (100% vs. 96%) but found worse quality of life after surgery (Table 2).^{44,68} A meta-analysis by Maniakas et al included 16 studies yielding 1292 patients and dating from 1979-2011, with comparable length of follow-up, among which nearly all radiosurgery patients received SRS. Based on pooled results, overall tumor control rate in the SRS group was seen in 96.2% compared to the recurrence-free rate of 98.7% in the microsurgical resection subgroup.⁵¹ Literature also reports that complications

Table 3. Summary of Significantly Different Functional Outcomes in Acoustic Neuroma Patients Receiving Microsurgical Resection Compared to Stereotactic Radiosurgery (SRS)

	Facial motor disturbance	CN V disturbance	Preserved hearing (GR Class I or II)*	Overall functional disturbance	Hospital stay (days)	Mean days missed from work
Surgery	37%	29%	37.5%	39%	23	130
SRS	0%	4%	70%	9%	3	7

*Among patients whose pre-intervention hearing was GR Class I. Table adapted from Regis et al.⁷⁰

are significantly higher after microsurgery, even for small tumors < 2.0 cm.⁶⁹ A review by Sarmiento et al reported that evidence from level 2 studies shows SRS (40% to 68%) results in higher rates of serviceable hearing compared to surgery (0% to 5%), and higher rates of facial nerve preservation (SRS 98% to 100% compared to surgery 66% to 83%) in patients with tumors < 3.0 cm.⁶⁷ The Maniakas review showed that SRS had better long-term hearing preservation compared to microsurgical resection from any approach (70.2% SRS vs. 50.3% microsurgical resection).⁵¹ Furthermore, patients in the radiosurgical group had significantly lower mean Dizziness Handicap Inventory (DHI) scores, suggesting fewer problems with imbalance. Patients who underwent surgical resection experienced significant decline in several of the subsets of the Health Status Questionnaire (HSQ) used to assess quality of life at 3 months, 1 year, and last follow-up, particularly in the “physical functioning” and “bodily pain” categories, while those who underwent radiosurgery had no decline on any component of the HSQ.⁴⁴ French data demonstrated the largest prospective study comparing surgery to SRS.⁷⁰ This nonrandomized prospective series used pre- and post-operative questionnaires to evaluate functional outcomes after SRS or microsurgical resection in patients who received only one of these approaches as their primary treatment for unilateral AN. The minimum follow-up was 3 years. These results found that functional side

effects occurred during the first 2 years after SRS, and that after 4 years of follow-up, patients receiving SRS had better overall function compared to those receiving microsurgery (Table 3).⁷⁰ There was no significant difference found between the 2 modalities for post-intervention tinnitus, vertigo or imbalance.

A retrospective study by Karpinos et al⁷¹ also explored outcomes in patients receiving either SRS or microsurgery, with similar results. Stereotactic radiosurgery was found to be significantly more effective than microsurgery in preserving any measurable hearing defined by GR Scale I-IV (57.5% vs. 14.4%). At long-term follow-up, patients in the SRS group experienced significantly more tinnitus than microsurgical patients (26.5% vs. 0%), while the microsurgery group had a significantly higher rate of facial neuropathy (35.3% vs. 6.1%), classified according to the House-Brackmann grading system, and trigeminal neuropathy (22% vs. 12.2%). The microsurgery group also had significantly higher peri-operative (immediate post-intervention) complications (47.8% vs. 4.6%) and hospital stay compared to the SRS group. However, there was no post-intervention difference in worsening imbalance, dysarthria, dysphagia, headache, or functional level⁷¹ (as defined by the Karnofsky Performance Scale and Eastern Cooperative Oncology Group scale).

Of particular interest in potentially expanding the population of patients recommended for SRS, a study by

Yang et al⁵⁶ examined SRS in vestibular schwannomas 3.0-4.0 cm in diameter. This range falls above the 3.0 cm threshold above which surgery is recommended over radiation therapy. In this study population, 26% had prior surgical resection. After 36 months of follow-up, 18 (82%) of 22 patients with serviceable hearing before SRS still had serviceable hearing after SRS more than 2 years later. Three patients (5%) developed symptomatic hydrocephalus and underwent placement of a ventriculoperitoneal shunt. In 4 patients (6%) trigeminal sensory dysfunction developed, and in 1 patient (2%) mild facial weakness (House-Brackmann Grade II) developed after SRS. Overall tumor control rate for SRS was 87% over > 2 years. Microsurgical resection was recommended as primary management for patients with low comorbidities allowing toleration of surgery. However, this study concluded that SRS could satisfactorily manage AN of maximum diameter < 4.0 cm without significant mass effect based on patient preference. If implemented, this guideline would expand the number of patients that could choose radiation over surgical resection for tumors by including those with AN 3.0-4.0 cm in diameter.⁵⁶

Synthesizing the existing data, the majority of studies conclude that patients with larger tumors or tumors causing mass effect are recommended to receive surgery, since this intervention seeks to remove all or part of the tumor, and the possibility of total resec-

tion exists only with surgery.¹³ However, small or medium-sized tumors with minimal symptoms, or symptomatic tumors not requiring urgent decompression with surgery, can be treated with a variety of modalities. In these cases, the goal could either be tumor removal or tumor growth arrest, which should be carefully weighed given the possible morbidity associated with surgery.¹³ Complicating the decision is that ideal candidates for SRS are typically also ideal candidates for microsurgical resection. These patients have easily resectable, small-to-moderately-sized tumors.⁷ Other considerations in choosing microsurgical resection or SRS include time-course of side effects, which tend to be immediate postoperatively, but can take months to years after completion of radiation therapy. Ultimately, all of these studies stressed the need for more follow-up data on patients receiving SRS for AN, particularly those who could have easily been recommended for microsurgical resection. It is especially important to track post-SRS symptoms and hearing preservation in this population. Many papers and review articles report satisfactory tumor growth control and few side effects with SRS, but there is no compelling evidence of the superiority of SRS to microsurgical resection or even conservative management.^{13,72} Some studies support that SRS can be considered as the primary modality of choice for treatment of most AN that are < 3.0 cm.⁶⁷ Others conclude by recommending SRS to treat postoperative residual tumors as well as tumors in patients with medical conditions precluding surgery, while reserving microsurgical resection whenever a surgeon can confidently remove the tumor with the risk-benefit ratio exceeding that of SRS.⁷³

Role of FSR Compared to SRS

Once the decision has been made to treat AN with radiation, the challenge

becomes choosing to apply stereotactic irradiation in fractionated or unfractionated schedules.⁷⁴ Fractionated stereotactic radiosurgery has been used as an alternative to SRS with comparable local control and complication rates, with some studies reporting less morbidity with FSR than either SRS or surgical resection.^{30,75} Several sources supporting FSR argue that dose fractionation, compared to SRS, permits the differential sparing of normal tissues (eg, vestibulocochlear nerve) as well as potential total dose escalation.^{44,53,76} This is critical for AN, for which, as noncancerous entities that grow near critical structures, consideration of toxicity plays a key role in discussions on management. With FSR, the patient's head is immobilized in a mask and a linear accelerator is used to apply the radiation. A dose of 50-55 Gy total (in 25-30 fractions at 1.8 Gy/fraction to the 80% isodose line) have been used for lesions > 2.0-3.0 cm, while 25 Gy (5 Gy in 5 fractions) have been used for smaller lesions.⁷⁷

Hearing preservation rates with FSR compared to SRS have been controversial, with different centers showing wide variation in the proportion of their patients reporting useful hearing.⁴⁴ These rates are thought to be slightly better with FSR than SRS or surgery according to Combs et al³¹ (94% with FSR) and Andrews et al¹² (81% with FSR vs. 33% with SRS). Meanwhile, other studies suggest equivalent rates of useful hearing between FSR and SRS if the SRS dose is \geq 13 Gy.⁷⁸ Modern series have reported facial nerve preservation rates ranging from 95% to 100% and trigeminal nerve preservation rates from 84% to 100% in both FSR and SRS.⁷⁴

A single-institution prospective study by Meijer et al⁷⁴ selected 129 patients with AN from 1992-1999 for either SRS (10 Gy and 12.5 Gy) or FSR (20 Gy/5 fractions and 25 Gy/5 fractions) and followed them for a mean

interval of 33 months. These patients had documented tumor progression on MRI, progression of symptoms (particularly unilateral sensory hearing loss), and largest measured tumor diameter to be < 4.0 cm. Comparable rates of tumor control, preservation of hearing, trigeminal and facial nerve function were found between the 2 methods (Table 4). However, this series suggested that, in contrast to the facial nerve, the trigeminal nerve was more susceptible to injury by not fractionating the radiation treatment in a small proportion of patients.⁷⁴ Further studies are necessary to determine optimal regimens to minimize toxicity. There was no statistically significant treatment-related difference in trigeminal or facial nerve toxicity, hearing loss, or tumor control probability in patients with tumors < 2.5 cm compared to those with tumors \geq 2.5 cm.⁷⁴ This is consistent with existing literature.^{30,55,73} Given the ambiguity in optimal treatment of patients with tumors < 4.0 cm, it is important to note that tumor diameter did not predict for tumor control. This could suggest that a lower radiation dose may be sufficient for smaller tumors.⁷⁴

A retrospective study by Andrews et al also compared SRS (dose 12 Gy) to FSR (mean dose 50 Gy in 25 fractions) in AN in 125 patients in a single-institution study for a mean follow-up of 2.3 years. Tumor control was found to be 98% for SRS compared to 97% for FSR. Toxicity was comparable for CN V (95% for SRS vs. 93% for FSR) and CN VII (98% for both SRS and FSR) preservation. However, in patients with sporadic AN and pre-intervention serviceable hearing, functional hearing was statistically significantly different, 33% in SRS group vs. 81% in the conventionally fractionated FSR group.¹² This rate was described as superior not only to SRS, but also microsurgery and the natural history of AN progression. Patients with GR Grade I hearing had a

Table 4. Actuarial 5-Year Outcomes with Stereotactic Radiosurgery (SRS) Compared to Fractionated Stereotactic Radiation Therapy (FSR)

	Local tumor control ^{7,1,74}	CN V preservation ^{71*}	CN V toxicity ⁷⁴	CN VII preservation ⁷¹	CN VII toxicity ⁷⁴	Hearing preservation ^{71^}	Post-treatment serviceable hearing ^{12^o}
SRS	88-100%	92%	4.4-27%	93%	0-23%	75%	33-56%
FSR	94-100%	98%	0-13%	97%	0-3%	61%	78-81%

*Statistically significant difference in favor of FSR group. ^In patients with useful hearing prior to treatment. °Comparison of patients receiving stereotactic radiosurgery to those receiving stereotactic radiotherapy (linac) or hypofractionated stereotactic radiosurgery (linac). Table adapted from Combs et al,⁷⁷ Meijer et al,⁷⁴ and Andrews et al.¹²

significantly higher probability of preserving functional hearing than did GR Grade II patients (especially among patients receiving FSR). This suggests the importance of early intervention in preserving hearing, specifically immediate treatment of GR Grade I patients with FSR to maximize probability of hearing preservation.⁷⁹ The results of this series were compared to other studies measuring post-treatment serviceable hearing in patients receiving either SRS or FSR, which demonstrated comparable rates (Table 4).

The higher dose conformity achieved with SRS might suggest a higher rate of tumor control, and the higher dose homogeneity achieved with FSR linear accelerator might suggest less treatment-related morbidity.¹² As such, the 2.5-fold difference in hearing preservation in the Andrews et al study may not have been due to the fractionation schedule, but rather due to the higher dose inhomogeneity (number of isocenters) within the target volume in the SRS treatment group.¹² This is supported by data from earlier studies noting that, in addition to tumor diameter, a higher number of isocenters was significantly associated with higher rate of cranial and noncranial neuropathies, including trigeminal, facial, and vestibulocochlear nerve dysfunction.^{80,81} Additionally, it is possible that the SRS group received a higher maximum dose to the acoustic nerve, as the acoustic nerve passes through the target volume.⁷⁴ This discussion of dose con-

formality and homogeneity remains controversial, particularly as other reports of SRS outcomes demonstrated lower incidence of cranial neuropathy with smaller collimators, more isocenters, and the use of MRI to enhance target identification (and, in the process, dose conformity).^{82,83} Despite these controversies, more recent radiosurgery series demonstrate comparable outcomes between FSR and SRS.^{30,84}

Combs et al performed one study evaluating the effectiveness and long-term outcome of SRS for AN,³¹ and another assessing the long-term outcome and toxicity of FSR for AN.⁷⁷ Actuarial local tumor control rates at 3 and 5 years after FSR and SRS were comparable (Table 4). Two patients receiving SRS developed tumor progression at 36 and 48 months. Actuarial useful hearing preservation was 94% at 5 years for patients with pre-intervention useful hearing (Table 4). The hearing preservation rate in patients with useful hearing before SRS was 55% at 9 years. However, they discuss the importance of studying variation in hearing preservation, as it is the most common presenting symptom of symptomatic patients and one of the most valuable post-intervention outcomes. In addition, Combs et al cite existing studies at time of publication comparing FSR to SRS, demonstrating comparable local control and CN V and VII toxicity rates (Table 4). Cranial nerve toxicity other than hearing impairment was rare in this study. Among those at risk of treatment-related facial nerve

toxicity, one patient developed a complete facial nerve palsy after SRS (5%). A total of 93% of the lesions treated were at risk of radiation-induced trigeminal neuralgia, and two of these patients (8%) developed mild dysesthesia of the trigeminal nerve after SRS.

The combined results of their studies concluded that FSR was safe and efficacious for the treatment of AN, with mild toxicity with regard to hearing loss and cranial nerve function. They described FSR as an alternative therapy for patients with AN, as it has been an equivalently effective treatment modality compared to neurosurgery. Meanwhile, SRS results in good local control rates of AN with acceptable risk of cranial nerve toxicities. As toxicity is lower with FSR, they recommend SRS be reserved for smaller lesions. Current recommendations include the use of SRS for tumor control in patients desiring one treatment session. The role of SRS in the management of small to moderate (< 3.0 cm) AN, for which surgical resection has traditionally been the recommended intervention, remains controversial.⁵⁶ Several advances have aided in improving outcomes while reducing adverse radiation effects, such as improvements in radiosurgical technique, development of more sophisticated dose-planning software, and the use of high-resolution stereotactic MR imaging and dose optimization.⁵⁶

Due to differences in study population, tumor assessment, definitions used for hearing preservation,

follow-up times, and treatment techniques, comparison of these series cannot reliably detect small differences between FSR and SRS outcomes and toxicities.⁷⁴ There has also been some evidence that at longer follow-up intervals, there appears to be lower rates of hearing preservation compared to preliminary studies that suggested superiority of FSR for this outcome.⁴⁴ Finally, toxicity associated with SRS has decreased since the introduction of lower doses of radiation. Overall, evidence shows comparable rates of hearing preservation, local tumor control, and radiation-associated side effects between FSR and SRS, allowing for institutional experience and patient preference to guide the chosen modality. It is also worth noting the lack of randomized studies comparing FSR to SRS techniques, as a possible area of future study.

Integrating Literature and Future Directions

Limitations in AN Treatment

Major limitations of SRS include delayed-onset side effects and inability to remove the AN compared to surgical resection, and the potential for worse side effects related to higher dose at single fraction compared to FSR. Of note, most studies reporting on the control of tumor growth after treatment by SRS include patients without documented tumor growth rate before the initiation of treatment.⁷ Much of the literature includes studies that have compared interventions separately, in a nonrandomized (and often nonprospective) fashion. There are limitations with comparing the efficacy and morbidity rates across interventions, due to selection bias and other confounding factors.¹³ Additionally, many studies have cited inter-practitioner variation as a potential source of bias due to variations in surgical or radiation planning. Different institutions and practitioners also

have varying degrees of availability and experience with stereotactic radiotherapy, or may otherwise have preferences toward one of the 3 mentioned treatment modalities.⁷

Future Directions

The recommendation based on many studies, including prior reviews, is the need for standard outcome measures,⁴⁴ specifically, consistently defined outcomes. These include hearing preservation, which would require clearer guidelines for when to perform audiology examinations over the course of follow-up and in relation to the chosen intervention. Many studies emphasize the need to use standardized reporting guidelines for AN resection results.⁸⁵ Another important opportunity for clarification lies with measurements and techniques employed for measuring tumor volume and response to treatment.⁷ For example, in many studies, the definition of a treatment failure or success differs based on modality used. Often, a “failed” tumor outcome in microsurgical resection is defined as recurrence of tumor, whereas for radiosurgery, it is defined as growth of the tumor. Consistent definitions with subsequent consistent application of these terms to future studies can aid in interpreting these results and forming clearer guidelines for AN management. Despite the efficacy of radiosurgery for treatment of AN, many studies urge the importance of adequate follow-up duration, as treatment failures typically occur within 3 years after SRS,⁷⁴ and rare but potential adverse radiation effects such as cyst formation and secondary malignant transformation (estimated as a complication in 0.01% to 0.1%) have a latency period of 5 years or more after SRS.^{32,44}

Tumor volume has been shown in some studies to be a better indicator of response to SRS than maximum tumor diameter, which is the measurement

currently cited in studies making treatment recommendations. Yang et al examined AN control with SRS, and after 36 months found that 16 tumors (25%) had a volume reduction of more than 50%, 22 (35%) tumors had a volume reduction of 10% to 50%, 18 (29%) were stable in volume (volume change < 10%), and 7 (11%) had larger volumes (5/7 patients underwent resection and 1/7 underwent repeat SRS). In univariate analysis at 2 years, patients who had a previous resection, those with a tumor volume exceeding 10 ml, those with Koos Grade Classification⁸⁶ 4 tumors, and pre-SRS facial weakness, had significantly lower likelihood of tumor control after SRS.⁵⁶ In multivariate analysis, patients with no history of a resection and with Koos Classification Grade 3 tumor extension had better tumor control.⁵⁶ RECIST (Response Evaluation Criteria in Solid Tumors) guidelines⁸⁷ are tumor-centric criteria published to define when tumors improve (“respond”), stay essentially unchanged by (“stabilize”), or worsen (“progress”) during the course of treatment. These outcome assessments are determined based on evaluation of target lesions for degree of response: complete response (disappearance of all target lesions), partial response ($\geq 30\%$ decrease in the sum of the longest diameter (LD) of target lesions), stable disease (neither sufficient shrinkage nor increase compared to smallest sum of LD of lesions), and progressive disease ($\geq 20\%$ increase in the sum of LD of target lesions).

Summary of Key Findings

There is no international consensus on the optimal treatment for AN.⁷ Likewise, there are presently no guidelines on the effectiveness and safety of SRS compared to observation or microsurgical resection. Many papers and review articles describe satisfactory tumor growth control and few side effects with stereotactic radiotherapy.^{13,72} But despite these promising results, there

is no clear evidence that this treatment modality is superior to microsurgical resection. To date, there are no prospective randomized controlled trials comparing conservative management with observation, microsurgical resection, and stereotactic radiotherapy.⁷ Prospective evaluation of these modalities in a comparable population of patients who could opt for any of these modalities is needed. Ideally, these studies would be performed in a single institution setting with the same physician performing resection as being involved with RT to minimize inter-practitioner bias.⁴⁴ By examining outcomes in patients presenting with symptoms as well as those with incidentally discovered AN in the small-to-medium range, more consistent recommendations can be made on how to optimally treat these populations in an interdisciplinary fashion.

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