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INDICATIONS, BARRIERS AND PATHS TO ADVANCEMENT IN PALLIATIVE RADIATION ONCOLOGY

Description: Palliative radiation oncology is an integral part of radiation oncology practice with practical implications in common clinical scenarios including bone metastases, brain metastases, malignant spinal cord and cauda equina compression, tumor-related bleeding, fungation, obstruction and visceral metastases. Further education and research is needed as part of residency training and beyond to enhance the spectrum of care for advanced cancer patients delivered by radiation oncologists. Supportive and palliative care skills must expand beyond the technical aspects of radiation therapy delivery to generalist palliative care competencies, including symptom management basics, communication and goals of care, advance care planning, psychosocial issues, cultural considerations, spiritual needs and ethical/legal issues.

Learning Objectives:

- After completing this activity, participants will be able to:
- 1. Implement evidence-based practice for treating advanced-cancer-related scenarios with palliative radiation oncology.
- 2. Identify barriers and incorporate opportunities and perspectives of advancing education in palliative radiation oncology.

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Date of release and review: June 1, 2018 Expiration date: July 31, 2020 Estimated time for completion: 1 hour

Disclosures: No authors, faculty, or individuals at the Institute for Advanced Medical Education (IAME) or *Applied Radiation Oncology* who had control over the content of this program have relationships with commercial supporters.

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Indications, barriers and paths to advancement in palliative radiation oncology

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Radiation therapy (RT) has been used successfully for cancer symptom palliation for more than a century in a time-efficient and cost-effective manner for palliative care delivery, even when clinicians had an incomplete understanding of its mechanism of action. Shortly after the 1895 discovery of the x-ray by Wilhelm Roentgen, radiation's paramount use became treating cancer-related symptoms.¹ Palliative radiation dose depends

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on overall patient condition including prognosis, performance status, prior treatment, comorbid conditions, risk of acute toxicity, and concurrent systemic therapy, and is delivered taking into account patient wishes.² Palliative treatment courses of 8 to 30 Gy \times 1 to 10 fractions are commonly used for a wide range of scenarios, although other fractionation schemes also exist. Careful selection of dose, time and fractionation is important in palliative patients with limited life expectancies. Highdose-per-fraction or hypofractionated treatments may correlate with a higher late toxicity risk; however, per linear quadratic modeling, a single 8-Gy treatment has a lower risk of late effects than 30 to 40 Gy \times 10 to 20 fractions. Similarly, higher acute toxicity is associated with a course of 30 Gy \times 10 fractions compared with a single 8 Gy fraction.³

The benefits of palliative radiation are not limited by tumor histology or anatomic site of treatment. Tumor symptoms and signs may be relieved by RT to the central nervous system, respiratory system, gastro-intestinal tract, genitourinary system, skeleton, and skin, among other areas. Although cells of malignant melanoma and renal cell carcinoma are known to repair radiation-induced damage more efficiently than other tumors, they still respond to palliative RT.¹ **Table 1** summarizes common indications for palliative radiation treatment.

Estimating prognosis has remained difficult for clinicians caring for patients receiving palliative RT compared with colleagues in other oncology disciplines.⁴ However, considerable effort has been made over the past 10 years to develop models to predict patient life expectancy. Chow and colleagues developed and validated a predictive model that determines prognosis using 3 risk factors among patients referred for palliative RT.5 They collected potential clinical prognostic factors for 395 patients, including symptoms from the Edmonton Symptom Assessment Scale, and showed that nonbreast primary, metastases to nonbony sites, and Karnofsky performance status ≤ 60 divided patients into 3 groups (0 to 1, 2, or 3 risk factors) with remarkably different survival times. The data were further

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Table 1: Palliative Radiation Therapy Indications		
Symptoms of Primary or Metastatic Tumor	Signs of Progressive Tumor	
 Pain from: Progressive growth of primary tumor (any site) Bone metastases Visceral metastases Splenomegaly (hematologic malignancies) Recurrent tumor at primary disease site 	 Status post-instrumentation for palliation of cancer: Orthopedic stabilization of pathologic fracture or impending pathologic fracture Stent placement to maintain patency of airway, biliary tree, esophagus, etc. Decompression of brain metastasis or spinal cord compression Kyphoplasty or vertebroplasty 	
Neurologic symptoms from: • Brain metastases including leptomeningeal carcinomatosis • Nerve root or spinal cord compression • Brachial or lumbosacral plexopathy from tumor involvement	 Asymptomatic areas of metastatic disease or progressive primary tumors: Oligometastatic disease treated with stereotactic radiation therapy Areas likely to have symptomatic progression including impending pathologic fracture asymptomatic brain metastases, etc. 	
Bleeding from: • Head and neck cancers • Skin cancers • Upper and lower gastrointestinal cancers • Hematuria from genitourinary cancers • Gynecologic cancers • Hemoptysis from lung malignancy	 Bleeding from: Laser treatment of intracavitary disease (bronchus, biliary tree) 	
Dbstructive symptoms from: • Cough and dyspnea related to airway obstruction• Dysphagia or odynophagia from esophageal obstruction• Gastric outlet obstruction• Biliary obstruction• Pelvic obstruction• Rectal obstruction• Superior vena cava syndrome• Urinary outlet obstruction		

validated among an additional 445 patients from the same institution and 468 patients at a separate institution. Median survivals are 31 weeks, 13 weeks and 6 weeks for patients with 0 to 1 risk factors, 2 risk factors and 3 risk factors, respectively.⁶ Other prognostic models have also shown utility among patients receiving palliative RT, including the TEACHH model⁷ and models among patients receiving palliative RT for specific clinical scenarios, such as brain metastases⁸ and cord compression.^{9,10}

Herein we discuss the most common palliative radiation oncology scenarios encountered by radiation oncologists in their routine practice: bone metastases; brain metastases; malignant spinal cord and cauda compression; and tumor-related bleeding, fungation, obstruction and visceral metastases. Next, barriers to the advancement of palliative radiation oncology, including hurdles in clinical care and research opportunities, will be considered together with strategies to overcome these barriers to benefit advanced cancer patients and their families.

Bone Metastases

External-beam RT offers the most efficient and well-tolerated therapy for painful bony metastases when combined with suitable measures such as surgical fixation, bone-strengthening agents, radiopharmaceuticals and pain medication regimens¹¹ with complete pain relief of 30% to 50% and partial pain relief of 60% to 80% at 3 to 4 weeks after starting palliative radiation treatment.¹² Different treatment schedules for managing uncomplicated bone metastases (ie, no reirradiation, pathological fracture or cord/cauda compression) including 30 Gy \times 10 fractions; 24 Gy \times 6 fractions; 20 Gy \times 5 fractions; and a single, 8 Gy fraction have shown equivalent pain relief in several prospective randomized trials.¹¹ On average, re-treatment rates are 8% among patients receiving multifraction regimens and 20% among patients receiving a single fraction, with single-fraction treatment not showing any detrimental effect even when assessed for late spinal cord tolerance.¹³ Also, pain control was not inferior after a single 8 Gy fraction compared with protracted courses in a group of patients who survived beyond a year.¹⁴Clinical judgment and shared decision-making with patients are recommended to determine which fractionation regimen is

appropriate. A single 8 Gy fraction is a reasonable option for patients with limited life expectancy or any patients with uncomplicated painful bone metastasis. It is not certain whether hypofractionated regimens lead to better local control or prevention of fracture compared with a single 8 Gy fraction.

Excellent palliation for painful bone metastases along with safe and effective re-treatment have been confirmed by updated analyses.15 Expert and thorough judgment and discretion by radiation oncologists are crucial when deciding on fractionation and advanced techniques such as stereotactic body radiation therapy (SBRT) along with specific consideration for life expectancy; comorbid conditions; tumor biology; anatomy; previous radiation at or near the current site of treatment; tumor and normal tissue response to local and systemic therapies; and other factors relevant to the patient, tumor or treatment characteristics.15 The rapid access palliative RT programs throughout Canada have helped improve patient access to RT and in-depth study of bone metastases management.16,17 Such integrated services are becoming common, enhancing patient, family and team satisfaction and helping with prognostication, collaboration and combined decision-making.18

Brain Metastases

Brain metastases are common with multiple tumor types and are a significant cause of cancer morbidity and mortality. Treatment options are based on global patient factors, such as prognosis, and metastatic site-specific factors, such as site-related symptoms and number/burden of metastatic disease.¹⁹ For example, the use of the diagnosis-specific graded prognostic index (DS-GPA) to predict life expectancy can help tailor management of brain metastases based on performance status, age, number of brain metastases, ex-tra-cranial metastases and cancer type.⁸

Thoughtful palliative care is important, as survival ranges from 2.8 to 25.3 months depending on prognostic factors. Without clear evidence of uniform preference for using local modality combinations (surgery and radiosurgery) vs whole-brain radiation therapy, it is important to consider the ideal combination for a given patient. Of note, the addition of whole-brain radiation to surgery or radiosurgery does not confer a survival advantage and can diminish quality of life and cognitive function.²⁰ When deciding between 30 Gy \times 10 fractions and 20 Gy \times 5 fractions, the shorter course seems more logical in patients with short life expectancy for optimal convenience, given that no differences in overall survival or symptom control have been shown between the regimens. In some patients, particularly those with poorer prognosis, supportive care only, with dexamethasone and pain medication, is reasonable.³

In the past 1 to 2 decades, stereotactic radiosurgery (SRS) has transformed brain metastases management. Randomized controlled trials have demonstrated high local control benefits after SRS for brain oligometastatic disease, and a prospective study has shown that this may be considered for up to 10 brain metastases. Its minimally invasive nature makes it a reasonable alternative to surgical resection. Furthermore, novel targeted therapies and immunotherapies with favorable side-effect profiles allow for concurrent systemic therapy delivery with radiosurgery. Possible synergistic effects have been demonstrated, thus expediting treatment of intracranial and extracranial disease.21

Malignant Spinal Cord and Cauda Equina Compression

Malignant spinal cord and cauda equina compression is an oncologic emergency typically resulting from extraosseous extension of tumor from bones of the spine into neural structures, although the clinical scenario also can manifest due to epidural, intradural or even intramedullary metastatic disease. Pain usually predates neurological deficits by days to months, and resultant dysfunction can include motor weakness, sensory deficits and loss of bowel and bladder function. Neurological functional losses require prompt recognition and timely intervention to prevent long-term functional deficits.¹²

Starting corticosteroids to diminish edema is the first step in managing spinal cord/cauda compression. The next step is deciding between surgical decompression followed by RT or radiation alone. In a randomized trial, Patchell et al²² demonstrated that surgical decompression followed by RT (3 $Gy \times 10$) leads to improved ambulation when compared with radiation (3 Gy \times 10) alone for patients with a single site of metastatic epidural spinal cord compression (SCC) from different tumors and a good performance status. Patients undergoing surgical decompression were more likely to maintain ambulatory status, although that benefit decreased with age.23 Patients treated with RT alone generally respond to multifraction regimens such as $3 \text{ Gy} \times 10$ fractions, although recent literature suggests that patients with short life expectancy do well with a single 8 Gy dose.³ Rades and colleagues prospectively followed a large cohort of patients treated with different dose-fractionation schemes (8 Gy \times 1 or 4 Gy \times 5 for short course or 3 Gy \times 10, 2.5 Gy \times 15 or 2 Gy \times 20 for long course). The authors found that longer dose-fractionation schemes led to higher rates of local tumor control. This suggests that a higher biological equivalent dose is more likely to control spine tumors causing cord compression than a lower biological equivalent dose for patients with longer life expectancy.¹⁰ However, no differences in motor function change or overall survival between the groups were seen, suggesting that short-

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Indications	Dose	Response Rate
Uncomplicated bone metastases; equal efficacy per ASTRO guidelines; ¹¹ longer dose fractionation scheme may have increased bone remineralization	8 Gy x 1 4 Gy x 5-6 3 Gy x 10	60%-90%
Whole-brain radiotherapy; 4 Gy x 5 generally reserved for patients with poor prognosis; may be used in conjunction with surgery and/or stereotactic radiation therapy ¹⁹	4 Gy x 5 3 Gy x 10 2.5 Gy x 15	60%-90%
Advanced cancer in the lung causing airway obstruction, superior vena cava syndrome; longer dose-fractionation schemes may lead to longer survival ⁴⁵	7.5-8.5 Gy x 2 3 Gy x 10 2.5 Gy x 15	30%-90%
Incurable stage III non-small lung cancer patients who are candidates for chemotherapy, ECOG 0-2, life expectancy > 3 months ⁴⁶	Platinum-based doublet chemotherapy with current hypofractionated thoracic XRT of 3 Gy x 10 or 2.8 Gy x 15	1 year overall surviv 50-60%
Visceral metastases causing pain, obstructive symptoms, bleeding, fungating wounds; longer dose-fractionation schemes should be reserved for patients with prognosis > 3 months	8-10 Gy x 1 3.7 Gy x 2 BID (can repeat x 2) 4 Gy x 5 3 Gy x 10 2-3 Gy x 15-30	30%-90%
SBRT, requiring advanced technologies, advanced immobilization; generally reserved for patients with good performance status (KPS > 70) with expected long prognosis and/or with few metastases; also used in the setting of reirradiation	Single fraction up to 24 Gy 10-12 Gy x 4-5	70%-90%

*Table adapted from: Sharma S, Hertan L, Jones J. Palliative radiation therapy: current status and future directions. *Semin Oncol.* 2014;41(6): 751-763. Key: ASTRO = American Society for Radiation Oncology, GY = gray, ECOG = Eastern Cooperative Oncology Group, XRT = radiation therapy, BID = twice daily radiation therapy, SBRT = stereotactic body radiation therapy, KPS = Karnofsky Performance Scale

course radiation may be appropriate for patients with a life expectancy of < 3months. In the same population, Rades et al also developed and validated a score to predict survival after development of spinal cord compression. The score is based on histology, presence of other bone metastases, presence of visceral metastases, time from initial diagnosis of cancer to development of SCC, ambulatory status at the time of SCC, and time to develop motor deficits from the onset of SCC. The score predicts 6-month survival percentages of 16% for poor prognosis, 48% for intermediate prognosis and 81% for better prognosis patients, demonstrating the

possibility of tailoring RT to anticipated survival.⁹ Feasibility of spine radiosurgery for the treatment of SCC has been demonstrated by Ryu and colleagues;²⁴ however, additional studies are needed to determine SRS safety in this setting given the high RT dose and proximity to the spinal cord.

Tumor-related Bleeding, Fungation, Obstructive Symptoms and Visceral Metastases

Fundamental principles of RT apply for primary tumors or metastases causing symptoms in areas beyond bone and the central nervous system (CNS), and causing pain, bleeding, open wounds, or other local symptoms specific to the affected region (eg, dysphagia in the head and neck and esophagus, cough and dyspnea in the lung, etc.). Optimal dose-fractionation schemes have not been established and usually depend on the specific clinical scenario, patient's performance status and life expectancy. Short RT courses (including single-fraction) are more appropriate for patients with poor performance status and poor prognosis. For patients with intermediate prognosis, schedules such as $30 \text{ Gy} \times 10$ fractions or more dose-intense hypofractionated regimens, such as the "quad shot RT" (4 fractions delivered twice a day

over 2 days and repeated weekly up to 2 additional times depending on performance status and response), may be suitable.²⁵ In patients with good performance status with no significant burden of metastatic disease and projected long survival, protracted courses of RT (eg, 40 to 60 Gy × 15 to 30 fractions) may be more appropriate. Furthermore, SBRT is increasingly being used in patients with oligometastatic disease for local control if the lesions can be treated keeping to normal tissue constraints.²⁵

Table 2 summarizes dose fraction-ation schedules for common palliativeRT cases along with estimated re-sponse rates.

Barriers and Opportunities in Advancing Palliative Radiation Oncology Practice

Several factors limit advancement in palliative RT clinical care and research. There is hesitancy in adopting evidence-based practice despite several large palliative trials by the Radiation Therapy Oncology Group (RTOG).^{26,27} Generally, palliative care outcome measures are hard to define and difficult to measure. Patient-reported, validated measures are usually the most useful outcome variables, although many commonly used instruments have not been fully validated in trials. Furthermore, many palliative radiation trials suffer from missing data points as patients are not always able to fulfill follow-up appointments due to declining function or mortality.²⁸

In addition, few resources are being spent on palliative radiation research compared to the number of palliative oncology patients in the United States each year as well as their symptom severity. This is reflected in part by the proportion of abstracts related to palliative care and symptom control submitted to the American Society for Radiation Oncology (ASTRO) from 1993 to 2000, which accounted for about 1.3% of overall submissions.²⁹ A more recent update on trends in the number of original scientific reports directly addressing palliative care outcomes in the Red and Green journals – two of the most prestigious and influential radiation oncology journals – showed minimal change in original research publications since the early 2000s.³⁰

Resident Education

Approximately 30% to 40% of RT courses delivered are palliative in intent, and radiation oncologists are involved throughout the trajectory of advanced patient care from diagnosis to end of life in providing supportive and palliative care (SPC). As discussed, palliative RT is an important tool for maximizing patient quality of life in the face of incurable cancers. Hence, radiation oncologists are key members of an interdisciplinary oncology palliative care team.³² However, despite the extent of palliative care provision within radiation oncology, radiation oncologists frequently report not having adequate competencies in palliative care.33

Several efforts have been made to further define and assess educational needs within SPC competencies in radiation oncology. As part of the overall competency assessment of radiation oncology resident trainees, the Accreditation Council of Graduate Medical Education (ACGME) and the American Board of Radiology (ABR) published radiation oncology competency assessment milestones that included palliative oncology care as 1 of 22 competency areas. The SPC milestone includes accurate pain and nonpain symptom assessment, independent management of toxicities and symptoms associated with RT, and developing appropriate and effective palliative care management strategies.34,35 To define current SPC educational structures within residency programs, a survey-based study assessed program directors' perspectives of palliative care education in radiation oncology residency. This study

revealed that although most of them considered SPC (93%) and palliative RT (99%) as important competencies for radiation oncology residents and fellows, only 67% of residency programs had formal educational activities in palliative and supportive care principles and practice. A formal curriculum on palliative RT applications was reported in 85% of programs and mostly focused on education regarding palliative RT to the brain, bone and spine, but less commonly for visceral or skin metastasis. The majority of programs had formal didactics of 1 or more hours on pain management (67%), neuropathic pain (65%) and nausea and vomiting management (63%), whereas initial management of fatigue (35%), spirituality assessment (33%) and advance care directives discussion (30%) were less frequently addressed.36

A national survey of radiation oncology residents by Krishnan and colleagues addressed residents' perspectives of their SPC education sufficiency across 8 generalist palliative care competency domains derived from national guidelines.37 These are: symptom management (pain, nonpain), communication about goals of care, advance care planning, psychosocial issues, cultural considerations, spiritual needs, care coordination, and ethical/legal issues. The survey assessed, within these domains, residents' perceptions of: 1) the adequacy of their education, 2) competency in each domain, and 3) overall importance of palliative care competencies within radiation oncology. On average across the 8 domains, 79% of residents rated their training as "not at all," "minimally," or "somewhat" adequate. The SPC domains in which residents rated themselves as "not at all," "minimally," or "somewhat" confident were symptom management (36% pain, 44% nonpain), communication about goals of care (31%), advance care planning (48%), psychosocial issues (55%), cultural

CASE SYNOPSIS

Mr. H is a 97-year-old man with a history of multiple comorbid conditions and diffuse large B cell lymphoma (DLBCL), status post R-CHOP x 3 and involved-field radiation therapy (IFRT) (R axilla) in 2015. He transferred his care to our hospital after being admitted at an outside hospital with severe back pain without adequate control despite narcotics. Imaging evaluation showed a paraspinal mass invading the T8-10 without a compression fracture. Biopsy of this mass revealed DLBCL but was complicated by acute lower extremity weakness. Spine magnetic resonance imaging (MRI) revealed a hematoma at the epidural space at the biopsy site, without cord compression. Computed tomography (CT) of the chest, abdomen and pelvis demonstrated extensive mediastinal and retroperitoneal adenopathy. Radiation oncology was consulted for urgent palliative radiation to the lower thoracic spine. Upon assessment, the elderly patient appeared to be in very poor health and had not been ambulated due to progressive generalized weakness. His performance status was significantly declining in the last 6 months.

RECOMMENDATION:

Medical intervention with narcotic and nonsteroidal antiinflammatory medications.

Consider a single fraction of 8 Gy to the painful paraspinal mass.

issues (22%), spiritual issues (44%), care coordination (50%) and legal/ ethical issues (50%). Palliative care was perceived as an important competency for radiation oncologists by 96% of residents and greater SPC education was desired by 81%. The importance of improving generalist palliative care education in oncology has been emphasized in expert consensus recommendations.^{32,38,39} Several randomized trials have demonstrated improved patient outcomes through the integration of palliative care for oncology patients.40,41 For example, in a randomized study among 151 advanced lung cancer patients, Temel et al found that despite receiving less aggressive medical care at the end of life, early palliative care was associated with improved quality of life and survival with reduced depression.⁴² Also, early palliative care is associated with reduced costs of end-of-life medical care.⁴³

Incorporating specialty palliative care in oncology care is now recommended for all advanced cancer patients by the American Society of Clinical Oncology (ASCO) based on the aforementioned evidence.32 In these guidelines, oncologists are urged to be trained in, and provide, generalist palliative care to their patients. This is necessary due to oncologists' regular role in meeting generalist palliative care needs, such as managing ongoing symptoms, discussing goals of care as part of treatment decision-making, and identifying nonadvanced cancer patients in need of palliative care specialty referrals. Additionally, at present

The patient refused radiation treatment, but the son, the health care proxy, wanted to pursue every possible intervention, including RT.

RECOMMENDATION:

Review cancer treatment options. Multidisciplinary discussion and communication with patient and family about prognosis and goals of care.

Communication with family members to understand medical and psychosocial concerns.

Multiple daily discussions with the inpatient team and son took place to discuss the goals of care and expected objectives to palliate symptoms. Consensus was reached with the patient proceeding to inpatient hospice care. The patient received a single 8 Gy fraction of palliative RT to the midthoracic spine.

CASE QUESTIONS

What is the frequency of complex palliative care issues, such as psychosocial, ethical and goals of care issues, relevant to our care of patients?

Parker et al³¹ conducted a survey-based study of radiation oncology clinicians seeing 163 consecutive patients for urgent palliative RT. Most (82%) consults had 2 or more palliative care domains ranked as highly relevant to care that included physical symptoms (91%), care coordination (70%), goals of care (59%), and psychosocial issues (52%).

> there are insufficient specialty palliative care resources to meet the care needs as presented in the ASCO guidelines, particularly as many patients with advanced cancer are living longer due to advances in systemic therapies, such as immunotherapies. Such factors underscore the need for robust generalist palliative care education for all oncology-related disciplines, including radiation oncologists, who frequently are involved in care for patients with advanced cancers.44 Given the frequency of patients presenting to radiation oncology with complex palliative care issues such as significant pain syndromes and difficult end-of-life medical decision-making, there is a clear need to improve education across generalist palliative oncology care domains in radiation oncology training. Likewise,

increasing the quantity and quality of radiation oncology resident training in palliative care should be emphasized.³⁷ Given that many radiation oncologists have no formal training in hospice and palliative care during training and residency, it is also critical that high-quality palliative RT topics be presented at radiation oncology clinical meetings.

While this article focuses on the RT aspect of palliative care, the scope of palliative care is much wider. Important aspects of palliative care practice that may be integrated into residency training include decisions on when to offer treatment, limits of palliative RT, goals of care discussion, open and empathic communication with patients and family about prognosis, and facilitation of care to hospice or a nursing facility. Working with other specialists including medical oncology and palliative care on these diverse but complicated issues offers the maximal opportunity to define optimal care for symptom management and to improve the quality of life of patients with advanced cancer and their family. A case synopsis below illustrates an approach integrating a palliative radiation plan in the overall goals of care.

Conclusion

Palliative care is an integral part of radiation oncology practice, and radiation oncologists must be facile with the best evidence-based palliative RT applications in common clinical scenarios, including bone metastases, brain metastases, malignant spinal cord and cauda equina compression, tumor-related bleeding, fungation, obstruction and visceral metastases. Further rigorous research is needed to define technical aspects of palliative RT delivery, such as in the application of advanced techniques (eg, SBRT). However, supportive and palliative care competencies must extend beyond the technical aspects of RT delivery to generalist palliative care competencies, including the

basics of symptom management, communication and goals of care, advance care planning, psychosocial issues, cultural considerations, spiritual needs, and ethical/legal issues. Radiation oncologists must also interface with specialty palliative care teams, recognizing when referrals are needed, and acting as part of the interdisciplinary oncology palliative care team. Together with greater research, further education is needed both within and beyond residency training to best equip radiation oncologists to advance the care of cancer patients living with incurable disease.

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