

Whiplash evokes descending muscle recruitment and sympathetic responses characteristic of startle

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Whiplash injuries are the most common injuries following rear-end collisions. During a rear-end collision, the human muscle response consists of both a postural and a startle response that may exacerbate injury. However, most previous studies only assessed the presence of startle using data collected from the neck muscles and head/neck kinematics. The startle response also evokes a descending pattern of muscle recruitment and changes in autonomic activity. Here we examined the recruitment of axial and appendicular muscles along with autonomic responses to confirm whether these other features of a startle response were present during the first exposure to a whiplash perturbation. Ten subjects experienced a single whiplash perturbation while recording electromyography, electrocardiogram,

Le coup de fouet cervical est la blessure la plus fréquemment subie à la suite d'une collision arrière. Durant une telle collision, la réponse musculaire humaine comporte à la fois une réaction posturale et une réaction de sursaut qui peuvent exacerber la blessure. Toutefois, la plupart des études antérieures ont seulement évalué la présence de la réaction de sursaut au moyen de données sur les muscles du cou et la cinématique de la tête et du cou. La réaction de sursaut évoque aussi un recrutement musculaire descendant et modifie l'activité du système nerveux autonome. Nous avons examiné dans le présent article le recrutement des muscles axiaux et appendiculaires ainsi que les réponses autonomes afin de confirmer si ces autres caractéristiques d'une réponse de sursaut étaient présentes au cours de la première exposition à une perturbation de type coup de fouet. Dix sujets ont subi une seule perturbation de ce type et leurs réponses électromyographique, électrocardiographique et électrodermographique ont été enregistrées. Tous

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and electrodermal responses. All subjects exhibited a descending pattern of muscle recruitment, and increasing heart rate and electrodermal responses following the collision. Our results provide further support that the startle response is a component of the response to whiplash collisions.

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KEY WORDS: whiplash, startle, perturbation, chiropractic

Introduction

Whiplash injuries are the most common injury caused by motor vehicle collisions, and rear-end collisions pose the greatest risk of whiplash injury.¹ Although the exact aetiology of whiplash injuries remains unclear, a startle response elicited by a multisensory stimulus (somatosensory, acoustic and vestibular) is part of the neuromuscular response to a rear-end collision.²⁻⁵ The startle response increases neck muscle activity and increased activity in the posterior neck muscles, in particular the cervical multifidus muscle, may exacerbate strains in posterior neck structures (i.e. cervical facet joint) while these neck structures are already strained by the collision-induced intervertebral motion.^{4,6} Thus, it is important to understand how the startle response contributes to the neuromuscular response during rear-end collisions.

Previous whiplash experiments have focused primarily on neck muscle responses and head/neck kinematics as indicators of the presence of a startle response.^{3,6-8} However, the startle response, which is found in all mammals⁹, elicits a descending pattern of involuntary, axial and appendicular muscle recruitment originating from the caudal brainstem¹⁰. The startle response also influences autonomic physiological responses: it activates sympathetic post-ganglionic neurons that innervate organs such as the heart, blood vessels and sweat glands.¹¹⁻¹⁷ As a result, startle-induced sympathetic responses include an increase in heart rate and electrodermal activity (EDA), which is a technique used to infer sympathetic drive from measurable changes in skin conductance at the surface of the skin.^{15,18}

Here we attempt to confirm that a startle response

les sujets ont présenté un recrutement musculaire descendant, une augmentation du rythme cardiaque et des réponses électrodermales suivant la collision. Nos résultats soutiennent l'idée selon laquelle la réaction de sursaut est une composante de la réponse aux collisions avec coup de fouet.

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MOTS CLÉS : coup de fouet, sursaut, perturbation, chiropratique

forms part of the neuromuscular response evoked during a rear-end collision. Specifically, we investigate the recruitment of axial and appendicular muscle responses and changes in autonomic responses as additional indicators of the presence of a startle response during a rear-end collision. If a startle forms part of the response to a whiplash collision, we expect a whiplash-like perturbation to evoke a descending recruitment of muscles and an increase in heart rate and electrodermal activity characteristic of a startle response.

Methods

Subjects

Ten subjects with no history of neurological disorders participated in this experiment (5M/5F, 27±8 years, 169±11 cm tall, 70±14 kg). All subjects provided written informed consent and were paid a nominal fee for participating. The research protocol was approved by the UBC Clinical Ethics Review Board (H07-01281) and conformed to the Declaration of Helsinki.

Instrumentation

Surface electromyography (EMG) electrodes (Ambu Blue Sensors: N type, Ballerup, Denmark) were placed unilaterally on muscles on the left side of the body: sternocleidomastoid (SCM), cervical paraspinal (PARA) at the C4 level, triceps brachii (TRI), first dorsal interosseous (FDI), erector spinae (ES) at the L4 level and rectus femoris (RF). Due to the multi-layered architecture of the posterior neck muscles, we use the term paraspinal muscles (PARA) to describe the total muscle activity

recorded at these electrodes. Reference electrodes were placed bilaterally on the acromion to satisfy the internal grounding requirements of the EMG recording system. EMG recording sites were shaved, cleaned with alcohol and lightly abraded with NuPrep gel (D.O. Weaver and Co., Aurora, CO, USA). All EMG signals were amplified using a Neurolog system (Digitimer, Welwyn Garden City, Hertfordshire, England, UK) at subject dependent gains (ranging from $\times 1000$ -5000) and analogue band-pass filtered from 10 to 1000 Hz.

Electrocardiography (ECG) was measured in a bipolar recording configuration with a pre-amplification device (Grass Technologies P55 A.C. Pre-Amp, West Warwick, RI, USA). Disposable surface electrodes (Ambu Blue Sensors: M type, Ballerup, Denmark) were placed on the right side of the chest just below the clavicle medial to the deltoid muscle, on the left side of the chest at the level of the 5th intercostal space on the mid-clavicular line, and on the right side of the chest at the level of the 5th intercostal space adjacent to the mid-axillary line to act as reference. The ECG signals were amplified $\times 1000$ and analogue band-pass filtered between 0.3 Hz – 100 Hz. Electrodermal activity (EDA) was recorded using a skin conductance module (Cambridge Electronic Design (CED) 2502, Cambridge, England, UK) and disposable surface electrodes were placed at the thenar and hypoth-

enar eminences of the right hand. The EDA signals were passed through a second order low-pass analogue filter with a 10 Hz cut-off frequency to remove any high frequency noise in the recordings.

Kinematics of the head, torso and trunk were recorded with transducers to document the occupant responses and seat interaction. Head acceleration was measured using a nine accelerometer array (8 Kistler 8302B20S1; $\pm 20g$, Amherst, NY, USA. and 1 Silicon Design 2220-010; $\pm 10g$, Issaquah, WA, USA) arranged in a 3-2-2 configuration¹⁹ and securely fastened to the subject's head. Upper torso acceleration was measured using a tri-axial linear accelerometer (Summit 34103A; $\pm 7.5 g$, Akron, OH) mounted to an aluminum plate that was securely fastened to the chest immediately below the sternal notch. Lower lumbar acceleration was measured using a uniaxial linear accelerometer (Silicon Design 2220-020; $\pm 20g$, Issaquah, WA, USA) fastened to the skin between the L5 and S1 spinal levels with the sensing axis orthogonal to the seatback/back interface. A motion capture system (Optotrak Certus, Northern Digital, Waterloo, ON, Canada) was used to measure head, torso and sled displacements. Twelve infrared (IRED) markers were affixed in groups of four to the head accelerometer array, torso chest plate, and car seat/sled platform. The location of the accelerometers and IRED markers were digitized relative to anatomical landmarks using Optotrak so that the kinematics could be transformed to anatomically relevant locations (i.e. atlanto-occipital joint and head centre of mass).^{2,3,7} Sled acceleration was measured with a uni-axial accelerometer (Silicon Design Inc. 2220-100; $\pm 100g$, Issaquah, WA, USA). All accelerometer data were digitally low-pass filtered using a 4th order, dual-pass Butterworth filter with a cut-off frequency of 100 Hz.

EMG, ECG, EDA and accelerometer signals were sampled simultaneously at 2000 Hz using a National Instrument Data Acquisition (DAQ) PXI system and a custom Labview program, (National Instruments Corporation, Austin, Texas, USA). Optotrak data were acquired at 200 Hz per marker and the capture of each frame was triggered by the DAQ system to ensure synchronized data. For all trials, data were recorded for a total of 40 s: 10 s before and 30 s after the onset of sled acceleration.

Test Procedures

Subjects were seated on a feedback-controlled linear sled

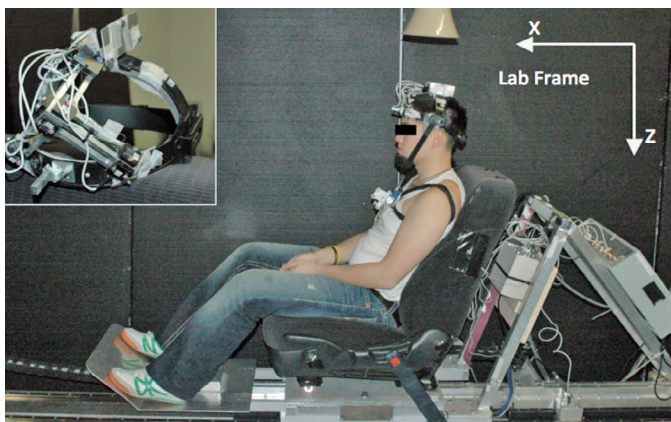


Figure 1.

Photographs of the experimental showing the location of the head and torso accelerometers, horn speaker and laboratory reference frame (X, Z). Inset: Close-up view of the nine accelerometer array on the headgear device.

Note: electromyography (EMG) electrodes are not shown.

fitted with the driver's seat of a 2005 Honda Accord (Figure 1). Subjects were instructed to sit comfortably facing forward, rest their forearms on their lap, and relax their head and neck muscles. The head restraint was removed from the top of the seat back to prevent head-to-head-restraint interaction that could affect the head/neck kinematics or generate additional sensory inputs. The sled generated no audible or mechanical pre-perturbation signals that could be used to predict the onset of a perturbation. The ambient background noise level in the lab was 64 dB. Each subject experienced a single forward horizontal translation with an average speed change of 75.00 ± 0.03 cm/s, a peak acceleration of 19.5 ± 0.2 m/s², and a duration of 53.20 ± 0.05 ms. To closely replicate a real automotive collision, the onset of the acceleration matched the onset of a vehicle-to-vehicle collision with a speed change of 8 km/h (2.22 m/s;²⁰) and was presented simultaneously with the sound recorded from of an actual 8-km/h vehicle-to-barrier crash (peak 109 dB, time-to-peak 34ms). To remain naïve to the experiment, subjects received neither practice nor demonstration trials of the perturbation.

Data Analysis

After data collection, all EMG data were digitally high-pass filtered using a 4th order, dual-pass Butterworth filter with a 30 Hz cut-off frequency to further remove any motion artifact. To determine the recruitment order of axial and appendicular muscle responses, we compared the onsets of activity in the different muscles recorded. EMG onset was defined as the time when the root-mean-squared (RMS) amplitude (20 ms window) reached 10% of its maximum value⁸, and was then confirmed visually.

Instantaneous heart rate (IHR) was obtained from the R-R intervals on the ECG signals to detect changes in the beat-to-beat intervals during and following the whiplash perturbation. Baseline IHR and EDA were defined as the average value over 5 s immediately preceding each perturbation. Peak amplitude and time-to-peak for both IHR and EDA responses were determined as the first peak to occur within the 10 s period following the onset of the perturbation. The timeframe for IHR and EDA responses to return to baseline values were defined as the first instance IHR and EDA responses returned to their respective baseline values following the perturbation.

The head acceleration data were transformed from the head accelerometer array to the atlanto-occipital

joint (AOJ) location and reported in the global reference frame (x-forward, y-right, z-down; for detailed procedures, see⁶). The AOJ was estimated to be 24 mm posterior and 37 mm inferior to the head's center of mass²¹ and the head's center of mass was estimated to lie in the mid-sagittal plane, rostral to the inter-aural axis by 17% of the distance between the interaural axis and the vertex²². All head and trunk accelerometers were corrected for the earth's gravitational field. The onsets of head (x- and z-axis), chest (x-axis), lower lumbar (x-axis), and sled accelerations (x-axis) were determined directly from the transformed accelerometer data using a finite difference algorithm (5 ms moving window at a threshold value of 2 times the maximum pre-perturbation baseline value)⁸ and then confirmed visually. All data analyses were performed using MATLAB (The Mathworks, Natick, MA).

Statistical Analysis

Non-parametric statistics were used to determine the significance differences between muscle response onsets by ranking the recruitment order of axial and appendicular muscle responses. A Friedman rank sum test was first used to determine whether the recruitment of EMG responses was different between muscles. A paired Wilcoxon rank sum test was then used to determine individual differences between each pair of muscles. Similar non-parametric statistics were performed to determine the significant differences within the order of acceleration onsets. A Friedman rank sum test was used to determine whether the acceleration onsets were different between accelerometer locations and a paired Wilcoxon rank sum test further determined individual differences between each pair of accelerometer locations. Autonomic responses were analyzed with a parametric paired-sample Student's T-Tests to compare pre-perturbation baseline IHR and EDA responses to the respective peak response occurring within the first 10 seconds following sled perturbation. All statistical analyses were performed using MATLAB at a significance level of $p = 0.05$.

Results

All subjects exhibited well-defined, axial and appendicular muscle responses elicited by the sled perturbations (Figure 2a). The onset of acceleration propagated upward from the sled (x-axis: defined as time zero) to the lumbar spine (x-axis: 15.1 ± 2.9 ms), upper torso (x-axis: 25.6 ± 2.3

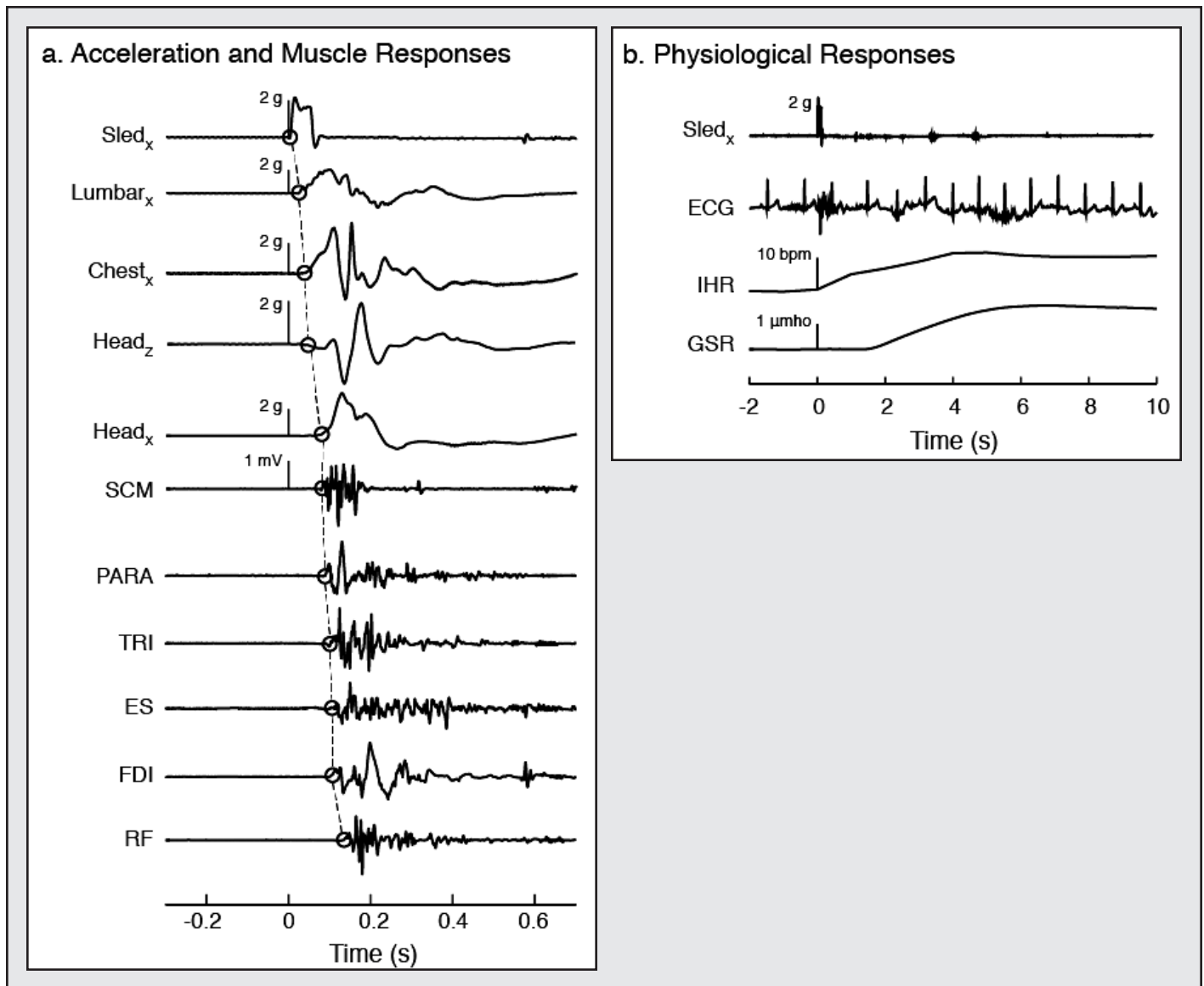


Figure 2.

A sample of kinematic, muscular and autonomic responses from a single subject during the first exposure to a whiplash perturbation. Due to the different timing of responses, kinematic and muscular data have been grouped in panel a., and autonomic responses in panel b. Hollow circles and dotted lines represent the onsets of accelerations and muscle responses to illustrate the propagation order of accelerations and the recruitment order of axial and appendicular muscles, respectively. The vertical scale bars are aligned with the onset of the sled perturbation and are consistent between trials. Kinematic data: subscript *x* and *z* refers to the *x*- and *z*-directions, respectively, for sled, lumbar, trunk and head accelerations. Electromyographic data: sternocleidomastoid (SCM), cervical paraspinal (PARA), triceps brachii (TRI), erector spinae at the level of L4 (ES), first dorsal interosseous (FDI) and rectus femoris (RF). Autonomic data: electrocardiogram (ECG), instantaneous heart rate (IHR) and electrodermal activity (EDA).

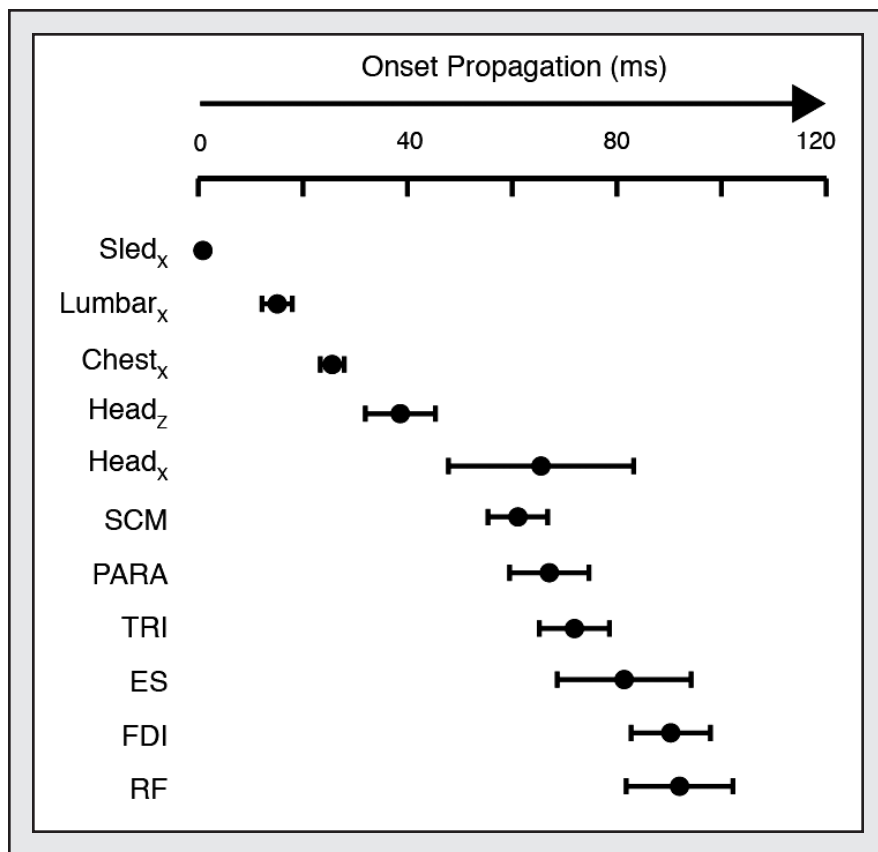


Figure 3. Group mean and standard deviation for onsets of acceleration and muscle responses. Kinematic data: subscript *x* and *z* refers to the *x*- and *z*-directions, respectively, for sled, lumbar, trunk and head accelerations. Electromyographic data: sternocleidomastoid (SCM), cervical paraspinal (PARA), triceps brachii (TRI), erector spinae at the level of L4 (ES), first dorsal interosseous (FDI) and rectus femoris (RF).

ms) and then head (z-axis: 38.9 ± 6.9 ms, x-axis: 65.5 ± 17.7 ms) ($\chi^2(3)=30.00$, $p < 0.0001$) (Figure 2a & Figure 3). Wilcoxon rank sum tests indicated a significantly earlier onset of sled acceleration than onsets of lumbar spine, upper torso and head (z-axis) accelerations (multiple p values < 0.0001), earlier onset of lumbar spine accelerations than onsets of upper torso and head (z-axis) accelerations (multiple p values < 0.0002), and earlier onset of upper torso accelerations than onset of head (z-axis) accelerations ($p < 0.0002$), to establish an upward propagation of accelerations (Sled \rightarrow Pelvis \rightarrow Upper Torso \rightarrow Head). In contrast to the upward propagation of acceleration onsets, we observed a downward recruitment of muscle onsets from the neck muscles to the appendicular muscles ($\chi^2(5)=43.08$, $p < 0.0001$). Neck muscles were activated first (SCM: 61.1 ± 5.7 ms & PARA: 67.1 ± 7.6 ms) followed by TRI (71.9 ± 6.7 ms), ES (81.4 ± 12.8 ms), FDI (90.3 ± 7.6 ms) and RF (92.0 ± 10.2 ms). Wilcoxon rank sum tests indicated no difference between SCM and

PARA onsets ($Z = -1.7047$, $p = 0.0883$), but did show that SCM was active before TRI, ES, FDI, and RF (multiple p values < 0.0028) and that PARA was active before ES, FDI, and RF (multiple p values < 0.0058) though not TRI ($Z = -0.9085$, $p = 0.3636$). Furthermore, TRI was active before FDI ($Z = -3.5920$, $p = 0.0003$) and ES was active before RF ($Z = -2.1560$, $p = 0.0311$). Thus, two descending muscle recruitment schemes were observed: 1.) SCM \rightarrow TRI \rightarrow FDI and 2.) SCM/PARA \rightarrow ES \rightarrow RF.

Concurrent sympathetic responses (IHR and EDA) were observed in all subjects following the simulated collision (Figure 2b). Baseline IHR ranged from 54 to 91 beats per minute (bpm) with an average IHR of 70 ± 12 bpm. IHR increased by 14.3 ± 5.7 bpm ($p < 0.0001$) at 4.7 ± 1.6 s after the onset of perturbation to 84 ± 11 bpm. IHR returned to baseline levels in all subjects within 30 seconds following the collision. Baseline EDA values of $-3.460 \pm 1.1 \mu\text{mho}$ increased by $2.08 \pm 1.1 \mu\text{mho}$ ($p = 0.0002$) at 6.7 ± 2.0 s after the onset of perturbation to an average

value of $-1.405 \pm 1.7 \mu\text{mho}$. In comparison to IHR, EDA did not return to baseline within the recording duration of the experimental trial (30s).

Discussion

The goal of this study was to confirm the presence of a startle response within the neuromuscular response to a rear-end collision using two indirect measures of the startle response: recruitment order of muscle responses and autonomic physiological responses. A single whiplash-like perturbation evoked a descending recruitment pattern of axial and appendicular muscles and increased sympathetic responses (IHR and EDA). These observations were consistent with responses evoked independently by an acoustic startling stimulus (muscle responses¹⁰ and autonomic responses¹¹⁻¹⁷) and provide further support that startle contributes to the overall response evoked during a rear-end collision.

Descending recruitment of muscle responses indicative of startle

A rear-end car collision is a complex, multi-sensory perturbation that stimulates the visual, vestibular, somatosensory, and auditory systems. Recent human volunteers studies involving seated transient perturbations have suggested that the startle reflex forms part of the neuromuscular response to a rear-end collision.^{2,3,6,23} The startle response elicits a descending pattern of involuntary axial and appendicular muscle activity such as facial grimacing, abduction of the upper arms and bending of the knees.^{10,24} From our study, we observed axial and appendicular muscle responses with a descending recruitment of muscle activations from neck muscles (SCM and PARA) to more distal axial muscles (ES) to appendicular muscles (FDI and RF). These results were similar to those elicited by the acoustic startle response and further support the presence of the startle responses.¹⁰

Alternatively, Forssberg and Hirschfeld (1994) proposed that somatosensory afferents derived from the backwards rotation and translation of the pelvis were responsible for triggering postural responses during sitting.²⁵ Somatosensory receptors located in both the trunk and the pelvis are the first detectors of the physical onset of a whiplash perturbation as we observed an ascending propagation of accelerations from the seat to the head (lumbar_x: 15ms, torso_x: 26ms, and head_z: 39ms). If the

trunk and pelvis were indeed responsible for the triggering of the postural responses, one may expect segmental reflexes from the lumbar (ES muscle) to occur first through fast conducting monosynaptic stretch reflexes to maintain posture. These segmental reflex loops would then evoke an ascending recruitment of muscle activity along with the ascending propagation of accelerations. However, the current study observed two descending recruitment patterns of axial and appendicular muscles (SCM → TRI → FDI & SCM/PARA → ES → RF) despite an ascending propagation of accelerations. The observed downward recruitment of muscles responses further support the idea that startle reflex forms part of the neuromuscular responses to a rear-end collision.

Sympathetic responses indicative of startle

Sympathetic neural activity mediates the human body's fight-or-flight responses to maintain homeostasis following situations perceived as startling or dangerous.²⁶ Changes in instantaneous heart rate (IHR) and electrodermal activity (EDA) can be used to infer the body's regulation of this sympathetic drive during threatening situations. Following an unexpected rear-end collision, we observed an increase in sympathetic drive resulting in IHR and EDA increases of 14.1 bpm and 2.1 μmho , respectively. Similar increases in IHR and EDA were observed in volunteers who were driving on public roads and encountered a startling scenario involving an unexpected pedestrian crossing the road or a potential collision with another vehicle.¹⁶ Moreover, a startling auditory (110 dB) stimulus has been shown to evoke an average IHR increase of 11 bpm in human volunteers lying in a supine position.¹² Thus, the sympathetic responses (within the first 10s) observed here support the presence of a startle response during a rear-end collision.

Implication for whiplash injury prevention

The cervical facet joints are a source of neck pain in 40-68% of patients with chronic whiplash injuries following a rear-end collisions.^{27,28} Excess strain can occur in the facet joint due to the intervertebral kinematic during the whiplash motion.²⁹ Due to their direct attachments onto the capsular ligaments³⁰, increased cervical multifidus, possibly related to the startle response, may further increase the capsular ligament strain and exacerbate injury^{4,30,31}. The additional evidence of the startle response

observed here provides further support for investigating methods of reducing the startle response following low-speed rear-end collisions. If the startle component of the posterior neck muscle responses can be decreased, then the strain applied to posterior neck structures and the risk of whiplash injury may be reduced. We have previously shown that a loud (105 dB) pre-stimulus tone, presented 250 ms before the onset of impact, inhibits the startle component of the neuromuscular response evoked during a whiplash collision.⁷ The pre-stimulus tone decreased the kinematics of the head (horizontal acceleration and angular acceleration in extension by 23%) and neck muscle responses (SCM by 16% and PARA by 29%). Thus, we suggest that startle responses should be addressed in the development of future anti-whiplash safety devices to reduce, and possibly prevent, the risk of whiplash injuries.

Our observations that a whiplash-evoked startle response elicits muscle activity throughout the body may have several clinical implications for the management of whiplash injuries. Although whiplash injuries remain primarily associated with neck pain (80%-100%), patients have also reported localized pain in the lumbar region (30%-60%) and extremities (12%-35%)³²⁻³⁸. In follow-up reports two years after the motor vehicle collisions, patients reported chronic pain in the lumbar region (6%-25%) and in the extremities (8%-17%).^{32,34,35,37} The aetiology of the lumbar symptoms remains unclear, but the present findings imply that increased axial muscle activity can potentially lead to chronic low-back pain symptoms reported by patients with whiplash-associated disorders. It may be that increased activation of lower back muscles increases internal loads on lumbar structures by altering the kinematic and kinetic responses of the lumbar spine despite being supported by the car seat throughout the whiplash collision. Future in-vivo studies are needed to confirm this hypothesis and to characterize the kinematic and kinetic responses of the lumbar spine during whiplash collisions. Understanding the neuromechanics of whiplash injuries will ultimately lead to injury prevention, better management and improve the life quality of patients with whiplash-associated injuries.

The whiplash perturbation used in this study is less severe than many real-life whiplash injury-inducing collisions³⁹ and volunteer studies (higher speed changes: 4 to 16 km/h and peak accelerations: up to 6.0 g)⁴⁰⁻⁴⁴. How-

ever, startle responses have been shown to increase with stimulus intensity and rise time.⁴⁵ If the startle response is present in the neuromuscular response to the acceleration pulse used in this study, the startle response should increase as stimulus intensity increases. Nevertheless, further work is needed to confirm that our results are relevant at higher collision severities. Investigation into specific neurophysiological pathways responsible for triggering and modulating muscular and autonomic responses was outside the scope of this study. Thus, the exact nature of the sensory afferents triggering the startle reflex during rear-end collisions remains unanswered.

Conclusion:

This study provided further support that the startle response contributes to the neuromuscular response evoked during a rear-end collision. We observed a descending recruitment pattern of axial and appendicular muscles and increased sympathetic responses indicative of a startle response. Increasing our understanding of how the startle response contributes to the neuromuscular response during rear-end collisions will lead to the development of more effective anti-whiplash safety devices to reduce, and possibly prevent, the risk of whiplash injuries.

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