# **GUIDANCE:** Artificial Intelligence in Dentistry

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### 17 Introduction

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Like many other areas of healthcare, dentists have access to new artificial intelligence (AI) technologies
 that can significantly impact professional practice and patient care; however, there is not yet a clear
 body of research or established best practices to inform ethical and professional conduct.

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23 Due to the rapidly evolving nature of this issue, the College has created this guidance to support

registrants who are using and exploring AI-supported tools and technologies for professional purposes.
 This document will also support patients and the public in understanding what to expect from their

This document will also support patients and the public in understanding will
 dentists as AI becomes more commonplace in clinical practices.

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This document does not set out new professional requirements, but instead highlights existing responsibilities that may be relevant to the use of AI in dentistry. It also provides guidance to help registrants exercise their professional judgment and make decisions in the best interests of patients

31 when using AI for professional purposes.

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# 33 **Definition**

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Artificial intelligence (AI) generally refers to computer systems that can perform tasks commonly
 associated with human intelligence, such as finding patterns in data, problem solving, learning, and
 making predictions, recommendations, and decisions.<sup>1</sup>

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39 In dentistry, AI can be used for various purposes, including helping dentists with managing their

- 40 practices, creating patient charts and documentation, diagnosing and detecting conditions and diseases,
- 41 developing treatment plans, outcome prediction, patient monitoring, and patient education.

<sup>&</sup>lt;sup>1</sup> There are different types and subsets of AI, including machine learning, generative AI, and large language models. For definitions of related terms, visit the University of Saskatchewan's <u>Glossary of AI Related Terms</u>.

## 42 **Principles**

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44 The following principles form the foundation for the guidance set out in this document:

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- 46 1. The responsible and ethical use of AI in dentistry is guided by what is in the best interests of47 patients.
- The responsible and ethical use of AI involves implementation of AI in a manner that is safe,
   transparent, unbiased, non-discriminatory, and safeguards patient privacy and confidentiality.
- Al in dentistry has the potential to benefit dentists and patients by improving the delivery of safe
   and quality oral health care, improving patient outcomes, and enhancing the patient experience.
- Al is not a substitute for dentists' clinical or professional judgment. Dentists remain responsible and
   accountable for their clinical care, decision-making, and documentation.

## 55 Existing Professional Requirements

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# 57 Dentists are reminded that adopting AI for professional purposes does not change their fundamental 58 duties and responsibilities which can be found in existing Standards of Practice, Code of Ethics, and law. 59 These include, but are not limited to:

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- ethical principles in the College's <u>Code of Ethics</u>, including the principle that the dentist's paramount responsibility is to the health and well-being of their patients;
- professional requirements articulated in the College's <u>Standards of Practice, Guidelines, and</u> <u>Practice Advisories</u>, including, but not limited to those relating to consent to treatment, conflicts of interest, professional advertising, and recordkeeping;
- legal and regulatory requirements,<sup>2</sup> including those under the <u>Professional Misconduct</u>
   regulation under the <u>Dentistry Act, 1991</u>; Ontario's <u>Personal Health Information Protection Act,</u>
   <u>2004</u> (PHIPA) with respect to consent for the use, collection, and disclosure of personal health
   information;<sup>3</sup> and Ontario's <u>Accessibility for Ontarians with Disabilities Act, 2005</u>.
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# 71 **Guidance for the Adoption and Use of AI in Dentistry**

This guidance is grounded in existing professional and ethical duties and is intended to assist dentists in interpreting how these can be applied when using AI in practice. The following guidance should be considered carefully by dentists who are exploring or adopting AI in their practices.

#### Risk-Based Approach

Al tools can assist dentists in a range of administrative, operational, and clinical applications; however, risks may vary based on the nature of the Al tool. Generally, where the potential risk is greater, dentists will need to exercise greater caution and oversight.

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<sup>&</sup>lt;sup>2</sup> Additional federal and provincial legislation related to the regulation of AI, such as Canada's <u>Artificial Intelligence and Data</u> <u>Act</u>, may be in development at the time of publication of this guidance.

<sup>&</sup>lt;sup>3</sup> Dentists must be aware of whether they have obligations under the federal <u>Personal Information Protection and Electronic</u> <u>Documents Act</u>, SC 2000, c 5, which applies to commercial activities relating to the exchange of personal health information between provinces and territories and to information transfers outside of Canada.

83 84 85 86 87 88	Ris	<ul> <li>k increases when an AI tool</li> <li>directly impacts clinical decision-making (e.g., to help formulate a diagnosis or treatment plan for a patient);</li> <li>poses a risk of harm to patient health and/or safety (e.g., to inform the diagnosis and treatment of an oral disease or condition);</li> <li>involves the use of patient's personal health information.</li> </ul>
89 90 91 92 93 94 95 96 97 98 99 100 101 102	As De pa	Accountability and Responsibility sessing the Appropriateness of A/ ntists have a responsibility for the health and well-being of patients and to provide competent care to tients. <sup>4</sup> This responsibility applies when providing care with the support of AI technology. The lowing guidance can help dentists fulfill their obligations while assessing the appropriateness of using within their practice: Prioritize patients' best interests when making decisions to adopt and use AI in dentistry. Understand the reliability and appropriateness of the AI tool for its intended uses. When there is insufficient information to form a clear understanding, avoid the use of the AI tool.
103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121		<ul> <li>Understanding AI Tools</li> <li>Transparency from manufacturers and developers can help dentists make informed decisions about whether to use AI for professional purposes. Prior to adopting a particular AI product, dentists may wish to seek information from the manufacturer and developer, including the following details, if applicable: <ul> <li>legal and regulatory compliance, including with applicable privacy legislation (e.g., <i>PHIPA</i>);</li> <li>clinical validity, safety, accuracy, and effectiveness of the AI tool;</li> <li>data used to train the AI tool (e.g., data diversity, timeframes, size) and any limitations (e.g., underrepresented patient demographics, such as race, ethnicity, age, gender, or socioeconomic status);</li> <li>how end users (e.g., health care practitioners) and impacted populations may have been involved in the design, development, and testing of the AI tool;</li> <li>intended uses, known limitations, associated risks, and steps taken to mitigate risks, including risk of bias;</li> <li>performance monitoring, updates, and handling of errors and/or adverse events.</li> </ul> </li> <li>Dentists are also encouraged to research and seek information from other sources about the AI tool they are considering using.</li> </ul>
122 123 124 125 126	3. 4.	Understand and evaluate the risks (including the nature of the risk, severity, and likelihood) and limitations associated with the AI tool being considered, including, for example, the potential for inaccuracies, errors, and biased outputs. Take steps to prevent and mitigate the potential risks associated with the AI tool.

<sup>&</sup>lt;sup>4</sup> Royal College of Dental Surgeons of Ontario, <u>Code of Ethics</u>.

- Train staff who will be involved in using any AI tools on their appropriate uses, limitations, risks, andsteps to mitigate risks.
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- 130 Using Al
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- 132 It is important for dentists to be aware that AI can produce outputs which contain inaccuracies, errors,
- and misleading information, or which may be incomplete and/or outdated (for instance, when
- 134 generating documentation or making diagnostic recommendations). AI may also unintentionally
- perpetuate biases, which can be found in training data that is not representative of the patient
- 136 population being served, or from biases in the way the tool was developed and designed.
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- Dentists play a critical role by actively overseeing the use of AI and exercising their clinical judgment to
   prevent adverse impacts on patients. The following guidance can help dentists using AI provide care that
   mitigates risks and is appropriate to the patient and their circumstances:
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- 142 6. Undertake relevant and ongoing training and education, as needed, in order to use AI and specific AI
   143 tools safely and appropriately.
- Critically review and evaluate all AI-generated outputs for accuracy, completeness, and biases and/or stereotypical associations.
- 1468. Ensure that decisions made and implemented with the support of AI take into consideration thepatient's unique characteristics, circumstances, and clinical presentation.
- 148 9. Maintain an audit system which allows AI-generated outputs and AI-supported decision-making to149 be tracked and AI performance to be monitored.
- 10. Review and evaluate AI-generated gaps, errors, and adverse events to identify contributing factors,
   implement improvements, and take appropriate corrective actions (e.g., report problems to the
   manufacturer and developer of the AI tool, report privacy breaches to the <u>Information and Privacy</u>
   <u>Commissioner</u>, ensure the tool is up-to-date, discontinue use of the AI tool).
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#### 155 2. Transparency and Disclosure

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- Being transparent with patients about the use of AI supports informed decision-making, patient autonomy, and patient trust. Especially as the use of AI in dentistry is new and evolving, being transparent and involving patients in decision-making can help build patient trust. The following
- 160 guidance can help dentists be transparent about their use of AI in their practice:
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  162 11. Inform individuals when they are interacting with AI rather than with a human (e.g., the use of a virtual assistant chatbot that simulates human conversation).
- 12. Prior to its use, inform patients when AI will be used in a manner that will directly impact their care
  or clinical decision-making (e.g., what AI is being used, for what purposes, its benefits and
  limitations). The level of information provided may be tailored based on how and when AI is being
  used, as well as the patient's technological literacy. Document these discussions.
- 168 13. Provide reasonable accommodation, when possible, to patients who express a desire for no or169 minimal involvement of AI in their care.

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171 172	3. Protecting Patient Health Information
173 174 175	In keeping with dentists' obligation to safeguard patient privacy and confidentiality and to comply with <i>PHIPA</i> , <sup>5</sup> the following guidance can help dentists meet these obligations while using AI:
176 177	14. Understand the privacy and security settings and measures of the AI tool being used and be satisfied that any patient data involved is securely stored.
178 179 180 181	<ul> <li>15. Do not permit Al-generated outputs containing patient health information to be used for other purposes (e.g., training the Al tool, sharing with third parties), unless patients have provided express and knowledgeable consent to the specific use of their health information for that purpose.<sup>6</sup></li> </ul>
182 183	Additional Resources
184 185 186 187 188	As the use of AI is more widely adopted in dentistry, it is important for registrants to seek continuing learning and educational opportunities on the responsible and ethical use of AI. Registrants may find the following resources helpful for understanding the various uses of AI in healthcare, learning the principles around the responsible and ethical use of AI, and evaluating and assessing AI tools.
189	General Information and Resources
190	American Dental Association, SCDI White Paper No. 1106: Dentistry – Overview of Artificial and
191	Augmented Intelligence Uses in Dentistry
192	Canadian Centre for Cyber Security, <u>Cyber security guidance</u>
193	Centre for Effective Practice, <u>Artificial Intelligence (AI) Learning Centre</u>
194	National Institute of Standards and Technology, <u>AI Risk Management Framework</u>
195	<u>Statistics Canada</u>
196	General Principles
197	Government of Canada, <u>Responsible use of artificial intelligence in government</u>
198	Government of Ontario, <u>Principles for Ethical use of AI</u>
199	Health Canada, Good Machine Learning Practice for Medical Device Development: Guiding
200	<u>Principles</u>
201	Health Canada, <u>Transparency for machine learning-enabled medical devices: Guiding principles</u>
202	World Health Organization, <u>Harnessing Artificial Intelligence for Health</u>
203	Guidance: Evaluating and Procuring Al
204	Accessibility Standards Canada, Accessible and Equitable Artificial Intelligence Systems
205	Government of Canada, <u>Algorithmic Impact Assessment tool</u>
206	NHSX, <u>A buyer's guide to AI in health and care</u>

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<sup>&</sup>lt;sup>5</sup> The Office of the Privacy Commissioner of Canada's <u>Principles for responsible, trustworthy and privacy-protective</u> <u>generative AI technologies</u> includes considerations for organizations using generative AI and who are obligated to comply with privacy law.

<sup>&</sup>lt;sup>6</sup> Section 18 of the <u>Personal Health Information Protection Act, 2004</u>, S.O. 2004, c. 3, Sched. A.

- 208 Guidance: Using Generative AI
- **Canadian Medical Protective Association**, <u>AI Scribes: Answers to frequently asked questions</u>
- Government of Canada, <u>Guide on the use of generative artificial intelligence</u>
- Office of the Privacy Commissioner of Canada, Principles for responsible, trustworthy and privacy-
- 212 protective generative AI technologies